Local Galois Representations and Applications

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 - with possible applications to a generalization of Serre's uniform boundedness conjecture,
- 2. of weight 1
 - with possible applications to counting exotic weight 1 forms.

Cusp forms and Galois representations

Let $f = \sum_{n=1}^{\infty} a_n q^n$ be a (primitive) cusp form of weight $k \ge 2$, level $N \ge 1$, and character $\epsilon : (\mathbb{Z}/N)^{\times} \to \mathbb{C}^{\times}$.

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Let

$$\rho_f: \mathcal{G}_{\mathbb{Q}} \to \mathrm{GL}_2(\bar{\mathbb{Q}}_p)$$

be the Galois representation attached to f and p, satisfying trace $\rho_f(\operatorname{Frob}_{\ell}) = a_{\ell}$ and $\det \rho_f(\operatorname{Frob}_{\ell}) = \epsilon(\ell)\ell^{k-1}$, for all primes $\ell \nmid Np$.

Let $k \ge 2$ and let

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One expects the full case to be the generic case.

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Conjecture (Serre)

There exists an absolute constant C such that for all primes p > C, the image of

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This is now proven in all but one case, by Serre, Mazur and Bilu-Parent.

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One way to prove this is to work **locally**: show that the image of the local residual Galois representation at p is big!

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We shall also assume that $\epsilon = 1$ on G_{p} , for simplicity.

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$$\begin{split} \omega &= \omega_1 : \mathcal{G}_p \quad \rightarrow \quad \mu_{p-1} = \mathbb{F}_p^{\times} \qquad \text{and} \\ \omega_2 : \mathcal{G}_{p^2} \quad \rightarrow \quad \mu_{p^2-1} = \mathbb{F}_{p^2}^{\times} \end{split}$$

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Finally, let

$$\mu_{\lambda}: G_{p} \rightarrow \overline{\mathbb{F}}_{p}^{\times}$$

be the unramified character of G_p taking $\operatorname{Frob}_p^{-1}$ to $\lambda \in \overline{\mathbb{F}}_p^{\times}$.

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Question: Which case occurs & for what values of λ , *a*, *b*, *c*?

The answer depends on the slope $\nu \in \mathbb{Q}_{\geq 0} \cup \{\infty\}$.

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Definition

The slope $v = v(a_p)$ of f at p is the p-adic valuation of the p-th Fourier coefficient of f.

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If the slope v = 0 is zero (the ordinary case), the local reduction is well known to be reducible (Deligne):

$$\rho \simeq \begin{pmatrix} \mu_{\bar{\mathbf{a}}_{p}} \cdot \omega^{k-1} & * \\ 0 & \mu_{\bar{\mathbf{a}}_{p}^{-1}} \end{pmatrix}.$$

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However, there are some partial results...

Assume v > 0 is positive. Then the *p*-adic local rep.

$$\rho_f|_{G_p} \simeq V_{k,a_p},$$

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Large slopes (Berger-Li-Zhu)

$$v > \left\lfloor \frac{k-2}{p-1}
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 or $\{v = \infty\} \implies \overline{V}_{k,a_p} \simeq \operatorname{ind} \omega_2^{k-1}$.

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except if $v = \frac{1}{2}$ and a = 1

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Let r = k - 2 > 1 and $r \equiv a \mod p - 1$ (a = 1, ..., p - 1). Let $v \in (0, 1)$. Then

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$$\bar{V}_{k,a_p}^{ss} \simeq \mu_{\lambda} \cdot \omega \oplus \mu_{\lambda^{-1}} \cdot \omega_{\lambda}$$

where λ is a root of the equation above.

Slope 1 and beyond

We have extended this result to the case of slopes

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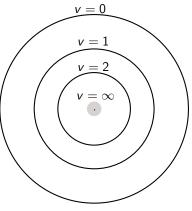
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p-adic unit disk

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Theorem A: Slope 1

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where

$$u(a_p) := \frac{b}{b-r} \left(\frac{a_p}{p} - {r \choose 2} \frac{p}{a_p} \right).$$

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Theorem B: Slopes in (1, 2)Let $p \ge 3$, let $r = k - 2 \ge 2p$ and let $r \equiv b \mod (p-1)$.

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1. Weights: Both Theorems A and B match with Breuil's work for weight $k \le 2p + 1$ and are valid for all k > 2.

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3. Local constancy in the weight: If $v \in (1,2)$ and (\star) holds, then

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NB: $2 = \lceil \alpha \rceil$, for $\alpha \in (1, 2)$. Is this local constancy a reflection of the Gouvêa-Mazur conjecture?

4. θ -derivation: If f has weight k and slope $v \in (0, 1)$, then

$$g = heta f$$
, for $heta = q rac{d}{dq}$,

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5. Trichotomy: The trichotomy for v = 1 and b = 2 is analogous to the dichotomy for $v = \frac{1}{2}$ and a = 1.

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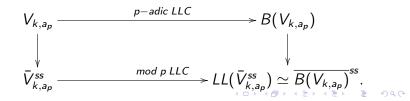
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Bruhat-Tits tree

Let
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$$KZ \setminus (G \times V) \to KZ \setminus G = X$$

defines a **local system** on X.

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It turns out there is a natural surjection

$$\pi: \operatorname{ind}_{\mathsf{KZ}}^{\mathsf{G}} V_{\mathsf{r}} \twoheadrightarrow \overline{B(V_{k,a_{\mathsf{p}}})},$$

for r = k - 2.

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We need to study its kernel using T, as follows.

Given k, a_p , and

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i)
$$(T - a_p)f$$
 is integral, i.e., takes values in $\operatorname{Sym}^{k-2\bar{\mathbb{Z}}_p^2}$,

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such that

i) $(T - a_p)f$ is integral, i.e., takes values in $\operatorname{Sym}^{k-2}\overline{\mathbb{Z}}_p^2$, and ii) the image of $\overline{(T - a_p)f}$ in $\operatorname{ind}_{KZ}^G V_r$ generates $\blacktriangleright \operatorname{ind}_{KZ}^G J$,

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such that

i) (T − a_p)f is integral, i.e., takes values in Sym^{k−2}Z
²_p, and
ii) the image of (T − a_p)f in ind^G_{KZ} V_r generates
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▶ resp., $(T - \lambda^{\pm 1})(\operatorname{ind}_{KZ}^{G} J^{\pm})$, for some $\lambda \in \overline{\mathbb{F}}_{p}^{\times}$,

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resp., (T - λ^{±1})(ind^G_{KZ} J[±]), for some λ ∈ F
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as a G-module.

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- ▶ $\operatorname{ind}_{KZ}^{G} J$, ▶ $\operatorname{rosp}(T) (\operatorname{ind}^{G} I^{\pm})$ for some $\lambda \in \overline{\mathbb{R}}^{2}$
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as a ${\it G}\text{-module}.$ This algorithm eliminates all but

• 1 JH-factors J from the kernel of π ,

▶ resp., 2 JH-factors J^{\pm} from kernel(π), which **pair up** nicely, allowing us to compute $\overline{B(V_{k,a_p})}$, so $\overline{V}_{k,a_p}^{ss}$ by the mod p LLC.

Theorem A: the reduction \bar{V}_{k,a_p} is often reducible if v = 1.

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Ribet: Given \bar{V}_{k,a_p}^{ss} reducible, we can choose a lattice in V_{k,a_p} so that the reduction \bar{V}_{k,a_p} is split or non-split.

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However, sometimes the extension class lies in the 2-dimn'l

$$\mathrm{H}^{1}(G_{p}, \bar{\mathbb{F}}_{p}(\omega)) = \frac{\mathbb{Q}_{p}^{\times}}{(\mathbb{Q}_{p}^{\times})^{p}} \otimes \bar{\mathbb{F}}_{p}.$$

This happens exactly when \overline{V}_{k,a_p} is an extension of 1 by ω , up to a twist.

Definition (Serre)

Such an extension is peu ramifiée if it lies on the line

$$\frac{\mathbb{Z}_{\rho}^{\times}}{(\mathbb{Z}_{\rho}^{\times})^{\rho}}\otimes\bar{\mathbb{F}}_{\rho}\subset\frac{\mathbb{Q}_{\rho}^{\times}}{(\mathbb{Q}_{\rho}^{\times})^{\rho}}\otimes\bar{\mathbb{F}}_{\rho},$$

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Theorem A: $\overline{V}_{k,a_p}^{ss}$ is an extension of 1 by ω (up to twist) twice: 1. b = 2 and $\lambda = \overline{u(a_p)} = \pm 1$ (middle case of trichotomy), so $\overline{\frac{a_p}{p}} = \pm \frac{r}{2}$ or $\overline{\frac{a_p}{p}} = \pm (1 - r)$. NB: These are distinct if $r \neq \frac{2}{3} \mod p$, so assume this. 2. b = p - 1 and $p \nmid r - b$ and $\lambda = \pm 1$, so $\overline{\frac{a_p}{p}} = \pm (r + 1)$.

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Definition (Serre)

Such an extension is peu ramifiée if it lies on the line

$$\frac{\mathbb{Z}_{\rho}^{\times}}{(\mathbb{Z}_{\rho}^{\times})^{\rho}}\otimes\bar{\mathbb{F}}_{\rho}\subset\frac{\mathbb{Q}_{\rho}^{\times}}{(\mathbb{Q}_{\rho}^{\times})^{\rho}}\otimes\bar{\mathbb{F}}_{\rho},$$

and is très ramifiée otherwise.

Theorem A: $\overline{V}_{k,a_p}^{ss}$ is an extension of 1 by ω (up to twist) twice: 1. b = 2 and $\lambda = \overline{u(a_p)} = \pm 1$ (middle case of trichotomy), so $\overline{\frac{a_p}{p}} = \pm \frac{r}{2}$ or $\overline{\frac{a_p}{p}} = \pm (1 - r)$. NB: These are distinct if $r \not\equiv \frac{2}{3} \mod p$, so assume this. 2. b = p - 1 and $p \nmid r - b$ and $\lambda = \pm 1$, so $\overline{\frac{a_p}{p}} = \pm (r + 1)$.

Question: Can one distinguish between peu and très?

Theorem C: peu vs très ramifiée Let $p \ge 5$, $k \ge p+3$, $r = k-2 \equiv b \mod (p-1)$.

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Theorem C: peu vs très ramifiée

Let $p \ge 5$, $k \ge p+3$, $r = k-2 \equiv b \mod (p-1)$. Assume v = 1 and \bar{V}_{k,a_p} is a non-split extension of 1 by ω , up to twist.

Theorem C: peu vs très ramifiée

Let $p \ge 5$, $k \ge p+3$, $r = k-2 \equiv b \mod (p-1)$. Assume v = 1 and \overline{V}_{k,a_p} is a non-split extension of 1 by ω , up to twist.

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1. Suppose
$$b = 2$$
 and $\overline{u(a_p)} = \overline{\varepsilon}$, for $\varepsilon \in \{\pm 1\}$.

a) If
$$\frac{\overline{a_p}}{p} = \overline{\varepsilon_2^r}$$
, then \overline{V}_{k,a_p} is **peu** ramifiée.

Let
$$p \ge 5$$
, $k \ge p+3$, $r = k-2 \equiv b \mod (p-1)$. Assume
 $v = 1$ and \overline{V}_{k,a_p} is a non-split extension of 1 by ω , up to twist.
1. Suppose $b = 2$ and $\overline{u(a_p)} = \overline{\varepsilon}$, for $\varepsilon \in \{\pm 1\}$.
a) If $\overline{\frac{a_p}{p}} = \overline{\varepsilon_2^r}$, then \overline{V}_{k,a_p} is **peu** ramifiée.
b) If $\overline{\frac{a_p}{p}} = \overline{\varepsilon(1-r)}$ and $r \neq 2 \mod p$, then \overline{V}_{k,a_p} is **peu** ramifiée
if and only if
 $v(u(a_p) = \varepsilon) < 1$

$$v(u(a_p)-\varepsilon) < 1.$$

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Moreover, as a_p varies with $v(u(a_p) - \varepsilon) \ge 1$, the reduction \bar{V}_{k,a_p} varies through all **très** ramifiée extensions.

Let
$$p \ge 5$$
, $k \ge p+3$, $r = k-2 \equiv b \mod (p-1)$. Assume
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2. If
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 $v = 1$ and \overline{V}_{k,a_p} is a non-split extension of 1 by ω , up to twist.
1. Suppose $b = 2$ and $\overline{u(a_p)} = \overline{\varepsilon}$, for $\varepsilon \in \{\pm 1\}$.
a) If $\frac{\overline{a_p}}{p} = \overline{\varepsilon_1^r}$, then \overline{V}_{k,a_p} is **peu** ramifiée.
b) If $\frac{\overline{a_p}}{p} = \overline{\varepsilon(1-r)}$ and $r \neq 2 \mod p$, then \overline{V}_{k,a_p} is **peu** ramifiée
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Rmk: In part 1b), the extra condition that $r \not\equiv 2 \mod p$ can partly be removed.

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Moreover, as a_p varies with $v(u(a_p) - \varepsilon) \ge 1$, the reduction \bar{V}_{k,a_p} varies through all **très** ramifiée extensions.

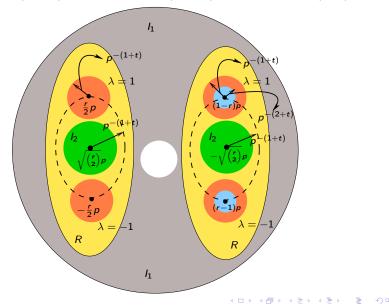
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Rmk: In part 1b), the extra condition that $r \neq 2 \mod p$ can partly be removed. We we can also prove 1b) when $r \equiv 2 \mod p$, if we assume $u(a_p) - \varepsilon$ is a uniformizer of $\mathbb{Q}_p(a_p)$, or if $\mathbb{Q}_p(a_p)/\mathbb{Q}_p$ is unramified.

Picture for v = 1, b = 2, t = v(r - 2) > 0: \overline{V}_{k,a_p} irreducible (grey and green), \overline{V}_{k,a_p} reducible (yellow) with peu ramifiée (orange) and très ramifiée (blue):

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Example: the Delta function Let $f = \Delta = \sum_{n=1}^{\infty} \tau(n)q^n$, of weight k = 12 and level N = 1.

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Let $f = \Delta = \sum_{n=1}^{\infty} \tau(n)q^n$, of weight k = 12 and level N = 1. Then v > 0 for p = 2, 3, 5, 7,

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Let $f = \Delta = \sum_{n=1}^{\infty} \tau(n)q^n$, of weight k = 12 and level N = 1. Then v > 0 for $p = 2, 3, 5, 7, 2, 411, \cdots$

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Consider p = 5

Let $f = \Delta = \sum_{n=1}^{\infty} \tau(n)q^n$, of weight k = 12 and level N = 1. Then v > 0 for $p = 2, 3, 5, 7, 2, 411, \dots, 7, 758, 337, 633, \dots$

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Consider p = 5, so $\tau(p) = 4,830 = 5 \times 966$ and v = 1.

Let $f = \Delta = \sum_{n=1}^{\infty} \tau(n)q^n$, of weight k = 12 and level N = 1. Then v > 0 for $p = 2, 3, 5, 7, 2, 411, \dots, 7, 758, 337, 633, \dots$

Consider
$$p = 5$$
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Recall the congruence $\tau(n) \equiv n \cdot \sigma_1(n) \mod 5$, for $n \ge 1$.

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Swinnerton-Dyer: Globally, mod 5, we have $\bar{\rho}^{ss}_{\Delta} \simeq \begin{pmatrix} \omega & 0 \\ 0 & 1 \end{pmatrix} \otimes \omega$.

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This matches with Theorem A for b = 2, noting $r \equiv 2 \mod 4$.

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We are in the middle case of the trichotomy there,

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$$\lambda \equiv \frac{2}{2-r} \left(\frac{\tau(p)}{p} - \binom{r}{2} \frac{p}{\tau(p)} \right)$$

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$$\lambda \equiv \frac{2}{2-r} \left(\frac{\tau(p)}{p} - {r \choose 2} \frac{p}{\tau(p)} \right) \equiv -\frac{2}{8} (966) \equiv 1 \quad (!) \mod 5.$$

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Theorem C says more: $\frac{a_p}{p} \equiv 1 \equiv \varepsilon(1-r) \mod p$ with $\varepsilon = 1$,

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Theorem C says more: $\frac{a_p}{p} \equiv 1 \equiv \varepsilon(1-r) \mod p$ with $\varepsilon = 1$, and $v(u(a_p) - \varepsilon)$ is necessarily ≥ 1 , so by part 1b),

 $\bar{\rho}_{\Delta}|_{G_5}$ is a très ramifiée extension,

whenever it is a non-split extension of ω by ω^2_{res} , ω_{res} , ω_{res}

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The image of the associated projective representation $\tilde{\rho}_f$ is of four types:

dihedral (D_n) , tetrahedral (A_4) , octahedral (S_4) , icosahedral (A_5) ,

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where D_n is the dihedral group with 2n elements.

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where D_n is the dihedral group with 2n elements.

We are especially interested in counting exotic forms (last three types).

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Then

$$N_{\mathrm{oct}}^{\mathrm{prime}}(X) = O(X/\log X).$$

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In particular, the number of octahedral forms of prime level is on average bounded above by a constant.

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We use the fact that the following sets are closely related:

 $\{\text{oct. forms } f\} \ \leftrightarrow \ \{\rho_f: G_{\mathbb{Q}} \to \mathrm{GL}_2(\mathbb{C}) \text{ odd}, \ \tilde{\rho}_f(G_{\mathbb{Q}}) \simeq S_4\}$

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Rmk: Minimal forms have cube-free conductors.

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In case 1, twisting by $\chi_p = 1$, ω^{-a} preserves $N_p = p$, whereas in case 2, twisting by any $\chi_p = \omega^b$ preserves $N_{p_p} = p_p^2$.

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 Also, the image of G_p under p̃_f is either cyclic or dihedral.
- We write V₄ ⊂ S₄ for the Klein 4-group and D₄ ⊂ S₄ for the dihedral group with 8 elements.

In the first three columns, we list all possible ramification types for $p \ge 5$ in K, corresponding to all possible choices of I_p and G_p in S_4 .

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I _p	Gp	ram. of <i>p</i> in <i>K</i>	D _K	Np	$p \equiv$	good	twists
(12) (12) (12) (34) (13) (24) (12) (34) (12) (34) (123) (123) (123) (1234) (1	$\begin{array}{c} I_{p} \\ I_{p} \\ (12), (34) \\ I_{p} \\ (1234) \\ V_{4} \\ (12), (34) \\ I_{p} \\ S_{3} \\ I_{p} \\ D_{4} \end{array}$	$\begin{array}{c} 1^{2}11\\ 1^{2}2\\ 1^{2}1^{2}\\ 2^{2}\\ 2^{2}\\ 1^{2}1^{2}\\ 1^{3}1\\ 1^{3}1\\ 1^{4}\\ 1^{4}\\ 1^{4} \end{array}$	$ \begin{array}{c} p \\ p \\ p^2 \\ p^3 \\ p^3 \end{array} $	p p^{2} p p^{2} p^{2} p^{2} p^{2} p^{2} p^{2} p^{2} p^{2}	1 (3) 2 (3) 1 (4) 3 (4)	yes yes yes yes	$ \begin{array}{c} 2 \\ p-1 \\ 2 \\ p-1 \\ p-1 \\ p-1 \\ 2 \\ p-1 \\ 2 \\ p-1 \end{array} $

Octahedral Ramification Table

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$(12) \\ (12) \\ (12)(34) \\ (13)(24) \\ (12)(34) \\ (12)(34) \\ (123) \\ (123) \\ (1234) \\$	$ \begin{array}{c} I_{p} \\ (12), (34) \\ I_{p} \\ (1234) \\ V_{4} \\ (12), (34) \\ I_{p} \\ S_{3} \\ I_{p} \\ D_{4} \end{array} $	$ \begin{array}{c} 1^{2}11\\ 1^{2}2\\ 1^{2}1^{2}\\ 2^{2}\\ 2^{2}\\ 1^{2}1^{2}\\ 1^{3}1\\ 1^{3}1\\ 1^{4}\\ 1^{4}\\ 1^{4}\\ \end{array} $	p p^{2} p^{2} p^{2} p^{2} p^{2} p^{2} p^{3} p^{3}	p p^{2} p p^{2} p^{2} p^{2} p^{2} p^{2} p p^{2}	1 (3) 2 (3) 1 (4) 3 (4)	yes yes yes yes	$ \begin{array}{c} 2 \\ p-1 \\ 2 \\ p-1 \\ p-1 \\ 2 \\ 2 \\ p-1 \\ 2 \\ 2 \\ 2 \\ 2 \\ 2 \\ 2 \\ 2 \\ 2 \\ 2 \\ 2$

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In 5 rows, the power of p in D_K is at most N_p . These cases are 'good' for us, since one can then hope to show

 $\sum_{f \,:\, N_f < X} 1$ is bounded by (a constant times) $\sum_{K \,:\, |D_K| < X} 1,$

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Question: Can one use the table to get instead good *lower* bounds on the number of octahedral forms (of minimal level)?

Thank you

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