

# Goa, Various Possible Titles

- ▶ Invariant Measures for Higher Rank Actions
- ▶ Quantitative (Multiple Unipotent) Recurrence and Additional Invariance
- ▶ Transportation of Positive Entropy

# General Setup for the Talk

Throughout  $X = G/\Gamma$ ,  $A < G$  is a diagonalisable subgroup of higher rank ( $\dim(A) > 1$ ),  $\mu$  is an  $A$ -invariant and ergodic measure on  $X$ .

Stupid example (Rank one factors):

$X = X_1 \times X_2$  and  $A = A_1 \times A_2$  with  $\dim A_1 = \dim A_2 = 1$

Assume our space is never of this form, e.g.  
by assuming that  $\Gamma$  is irreducible.

We also assume throughout that  $h_\mu(a) > 0$  for some  $a \in A$ .  
Better examples will follow ...

## Previous results

by Katok=:K, Spatzier, Kalinin, Einsiedler=:E.

The first satisfying result (only assuming positive entropy) was

**Theorem (L:=Lindenstrauss)**

$X = SL_2(\mathbb{R}) \times SL_2(\mathbb{R})/\Gamma$ ,  $A = \text{full diagonal subgroup}$   
 $\implies \mu = m_X = \text{Haar measure on } X$ .

## Previous results

by K, Spatzier, Kalinin, E.

### Theorem (L)

$X = SL_2(\mathbb{R})^k / \Gamma$ ,  $A = \text{full diagonal subgroup} \implies \mu = m_X$ .

### Theorem (E,K,L)

$X = SL_3(\mathbb{R}) / SL_3(\mathbb{Z})$ ,  $A = \text{full diagonal subgroup} \implies \mu = m_X$ .

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### Theorem (E,L)

$G$  semisimple,  $A = \text{full diagonal subgroup in some almost direct factor} \implies \text{all entropy is explained by rank one factors or unipotent invariance.}$

### Theorem (E,L)

$X = X_1 \times X_2$ ,  $A_1 \cong A_2$ ,  $A$  the diagonal in  $A_1 \times A_2$  and  $\mu$  is a joining  $\implies \mu$  is algebraic.

## New results (E,L, 2015-2016)

To summarise previous results either assumed that  $A$  is maximal (at least in some sense) or that  $\mu$  is special.

## New results (E,L, 2015-2016)

From now on we always assume that  $\Gamma$  is an arithmetic and irreducible lattice, and as before that  $\mu$  has positive entropy for some element of the action.

### Theorem (E,L)

$X = SL_2(\mathbb{R})^k / \Gamma$ ,  $A = \text{rank two subgroup} \implies$

- ▶  $X$  is compact and  $\mu$  is algebraic, or
- ▶ all of the entropy of  $\mu$  is explained by unipotent invariance.

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## Theorem (E,L)

$X = SL_3(\mathbb{R})^2 / \Gamma$ ,  $A = A_1 \times A_2$  for two rank one subgroups  
 $A_1, A_2 < SL_3(\mathbb{R}) \implies$

- ▶  $\mu$  is algebraic, or
- ▶ all entropy is explained by unipotent invariance, or
- ▶  $\mu$  is supported on a closed orbit of a smaller subgroup and some nontrivial element of  $A$  acts trivially on this orbit.



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These appear in the proof!

To discuss the ideas in the proof we  
will assume  $G = G_1 \times G_2$ ,  $G_j = \mathrm{SL}_2(\mathbb{R})^{10}$ ,  $A_j < G_j$  rank 1,  
and  $A = A_1 \times A_2$

Furthermore  $a \in A_1$  has  $h_\mu(a) > 0$  and  $b \in A_2 \setminus \{0\}$ .

New observation:

$\Gamma$  irreducible, arithmetic

$$\Rightarrow h_\mu(b) > 0$$

The proof of this observation is similar to the  
pf. of positive entropy for AQVE  
(Bourgoin-L).

Suppose  $h_\mu(b) = 0$ . Then the dynamically important  
Bowen balls for  $b$  shrink in measure very slowly:

$$h_\mu(b) \underset{\text{a.s.}}{=} \lim_{n \rightarrow \infty} \frac{-\log \mu \left( \bigcap_{k=-n}^n b^{-k} B_\varepsilon^x(b^k x) \right)}{2n} = 0$$

$$\Rightarrow \mu(B_{n,\varepsilon}(x)) \gg_\mu e^{-\alpha n}$$

for all  $\alpha > 0$

"basically does not shrink"

In the <sup>(original)</sup> AQUE-proof this was lead to a contradiction  
using all Hecke operators.

- up to complexity (=height)  $T$  there are  $\frac{T}{\log T}$  -many
- hence more than  $T^\beta$ -many
- diagonal flow has up to  $T$  only  $\log T$  volume
- unipotent flow has up to  $T$  at best  $T^\beta$  volume

$\Rightarrow$  We want to show e.g.

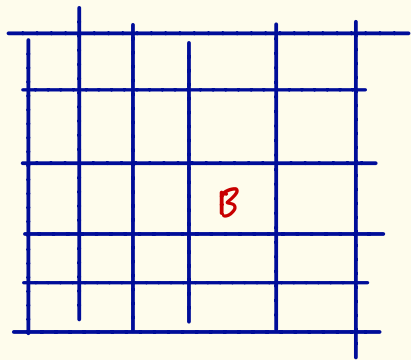
$$\mu \{ x \in X \mid d(u_s x, x) > T^{-\gamma} \text{ for all } s \text{ with } |s| \leq T \} \rightarrow 0$$

$\text{as } T \rightarrow \infty$

$\nearrow$   
if  $\mu$  is inv.-under  $U$

Boshernitzon "Quantitative Recurrence results", 1993

$$\gamma = \gamma(\rho, \dim G) \approx \frac{\rho}{\dim G}$$



$X$  covered by  $\approx \varepsilon^{-\dim X}$   $\varepsilon$ -balls  $B$

Within one  $B$  look at all points  $x \in \underline{B}$  that don't return up to time  $T$  under a single m.p. map  $\Rightarrow$  measure of that subset  $< T^{-\beta}$  (with  $\beta=1$ )

$\Rightarrow$  set  $T = \varepsilon^{\frac{-(\dim X + 1)}{\beta}}$  & run Borel-Cantelli

to obtain that a.e. point has  $\forall_{\text{small enough } \varepsilon > 0}$  a return within  $\varepsilon^{-(\dim X + 1)}$  to the  $\varepsilon$ -ball



Recall  $a \in A_1 < G_1$  &  $h_\mu(a) > 0$

$\Rightarrow \exists U < G_1$  that has positive entropy contribution

$\Rightarrow \{u_s \mid |u_s| < T\}$  has volume  $\gg T^\beta$   
for some  $\beta > 0$

Combine with recurrence pf

$\Rightarrow$  for o.e.  $x$   $\forall T \exists u_s, |s| > 1, |u_s| < T$   
 $u_s \cdot x$  also typical  
 $d(x, u_s \cdot x) \leq T^{-\beta}$   
 $\beta \approx \frac{\beta}{\dim G}$

Instead of balls we want to use

Bowen balls for  $\epsilon$  — need very few  
to cover  $X$  (as if  $\dim \approx 0$ )  
→ improves recurrence  
argument

$\Rightarrow$  for o.e.  $x \quad \forall T = e^n \quad \exists u_s, |s| > 1, |u_s| < T$   
large enough

$u_s \cdot x$  typical

$u_s \cdot x \in B_{k_n, \epsilon}(x)$

& we may choose  $k > 0$   
arbitrarily large

Working a bit harder still we can also find instead of 1 visit exponentially many, say  $e^{\frac{b}{2}n}$ -many, visits of  $u_s \cdot x$  to  $B_{Kn, \varepsilon}(x)$ .

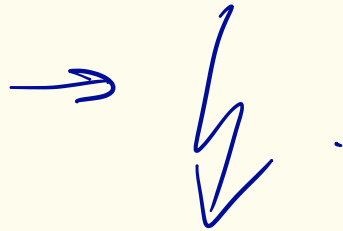
$u_{s_j} x \sim x \Rightarrow \exists \gamma_j \in I$  responsible for that

Recall  $u$  is large in  $G_1$  but trivial in  $G_2$   $\} \Rightarrow \gamma$  is large in  $G_1$  & very close to a conjugate of  $C_{G_2}(A_2)$  in  $G_2$

Note that the height of  $\gamma_j$  is controlled by the size of  $u_{s_j}$  - i.e. by  $T = e^n$ .



The so obtained lattice elements  $g$  commute (at first only in a factor of  $G_2$ ) and must be diagonalizable (as they are very close to a diagonal subgp). However, they are too many to fit inside an abelian diagonalizable subgroup



Where does the additional invariance  
come from?

All of the above can be carried  
out conditionally over the factor  
defined by the leafwise measure  $\mu_x^{G_b^-}$   
 $\Rightarrow$  gives two points on a  $G_b^-$ -orbit  
with the same  $G_b^-$ -leafwise measure.