

# COHOMOLOGICAL REPRESENTATIONS OF REAL REDUCTIVE GROUPS

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# UNITARY REPRESENTATIONS AND $(\mathfrak{g}, K)$ -MODULES

$G$  connected reductive algebraic group/ $\mathbb{R}$

- $GL(n), SL(n), Sp(2g), SO(p, q), U(a, b)$

$G(\mathbb{R})$  real Lie group (connected if  $G$  is simply-connected, but not in general)

- $GL(n, \mathbb{R}), SL(n, \mathbb{R}), Sp(2g, \mathbb{R}), SO(p, q), U(a, b)$

$K \subset G(\mathbb{R})$  is a maximal compact subgroup,  $\theta$  involution of  $\mathfrak{g}_0$  fixed by  $K$

- $O(n), SO(n), U(g), SO(p) \times SO(q), U(a) \times U(b), \quad \theta(X) = -{}^tX \text{ or } -{}^t\bar{X}$

$\mathfrak{g}_0$  is the Lie algebra of  $G(\mathbb{R})$ ,  $\mathfrak{g}_0 = \mathfrak{k} + \mathfrak{p}$  Cartan decomposition,  $\mathfrak{g} = \mathfrak{g}_0 \otimes \mathbb{C}$

$G^u$  is the compact real form of  $G(\mathbb{R})$ , i.e. the subgroup of  $G(\mathbb{C})$  with Lie algebra  $\mathfrak{g}^u = \mathfrak{k} + i\mathfrak{p}$

- $U(n), SU(n), Sp^c(2g), SO(p+q), U(a+b)$

A  $(\mathfrak{g}, K)$ -module is a  $\mathbb{C}$ -vector space with compatible actions of  $\mathfrak{g}$  and  $K$  in which every vector is  $K$ -finite and which is  $K$ -semisimple. It is *admissible* if the  $K$ -multiplicities are finite. It has an *infinitesimal character* if the centre  $Z(\mathfrak{g})$  of  $U(\mathfrak{g})$  acts by a character; the characters of  $Z(\mathfrak{g})$  are parametrized by  $\mathfrak{h}^*/W$  where  $\mathfrak{h} \subset \mathfrak{g}$  is a Cartan subalgebra. (Ex: For  $GL(n, \mathbb{R})$  this is  $\in \mathbb{C}^n/S_n$ .) Irreducible  $(\mathfrak{g}, K)$ -modules have infinitesimal characters and are admissible.

Given a continuous repn of  $G(\mathbb{R})$  on a Hilbert space, taking the smooth and  $K$ -finite vectors gives a  $(\mathfrak{g}, K)$ -module. This functor from repns to  $(\mathfrak{g}, K)$ -modules reflects isomorphisms. On unitary repns it restricts to an equivalence with unitarizable  $(\mathfrak{g}, K)$ -modules. In general, this achieves an algebraization of the theory of (unitary) repns of real Lie groups, and henceforth "repn" and " $(\mathfrak{g}, K)$ -module" will be used interchangeably. (These results are due to Harish-Chandra.)

If  $G$  is a simple  $p$ -adic group and  $V$  is a smooth repn with  $H^*(G, V) \neq 0$  then either  $V = \mathbb{C}$  and  $H^*(G, \mathbb{C}) = \mathbb{C}[0]$  or  $V$  is Steinberg and  $H^*(G, V) = \mathbb{C}[-rk]$ . Cohomological repns are the real counterpart of this very simple piece of the repn theory of  $p$ -adic groups.

DEFINITION: A  $(\mathfrak{g}, K)$ -module  $V$  is *cohomological* if there is a finite-dimensional repn  $E$  of  $G(\mathbb{C})$  for which  $H^*(\mathfrak{g}, K, V \otimes E) = Ext_{(\mathfrak{g}, K)}^*(E^*, V) \neq 0$ .

The cohomology is computed by the complex  $C^i(\mathfrak{g}, K, W) = Hom_K(\wedge^i(\mathfrak{g}/\mathfrak{k}), W)$  with

$$(d\phi)(X_0, \dots, X_i) = \sum_i (-1)^i X_i \cdot \phi(X_0, \dots, \hat{X}_i, \dots, X_i) \\ + \sum_{i < j} (-1)^{i+j} \phi([X_i, X_j], X_0, \dots, \hat{X}_i, \dots, \hat{X}_j, \dots, X_i).$$

Note that  $H^0(\mathfrak{g}, K, W) = W^{\mathfrak{g}}$  and  $H^i$  are derived functors.

EXAMPLE: For the trivial repn,  $(C^*(\mathfrak{g}, K, \mathbb{C}), d)$  is the complex of  $G(\mathbb{R})$ -invariant differential forms on  $G(\mathbb{R})/K$ . So  $H^*(\mathfrak{g}, K, \mathbb{C}) = H^*(G^u/K)$ .

REMARK:  $H^*(\mathfrak{g}, K, V \otimes E) = 0$  if infinitesimal characters of  $V$  and  $E^*$  differ.

REMARK: We will also consider  $V$  such that  $H^*(\mathfrak{g}, K^0, V \otimes E) \neq 0$ , where  $K^0 \subset K$  is the identity component. For example, for  $GL(2, \mathbb{R})$  both *triv* and *sgn(det)* are  $(\mathfrak{g}, K^0)$ -cohomological, but only *triv* is  $(\mathfrak{g}, K)$ -cohomological.

## EXAMPLES

EXAMPLE:  $SL(2, \mathbb{R})$ . Let  $k \geq 1$ . Consider the normalized induced repn

$$I_k := \text{Ind}_{B(\mathbb{R})}^{G(\mathbb{R})} \varepsilon^k \otimes \chi_k$$

where  $B(\mathbb{R}) = \{\pm I\} \cdot \left\{ \begin{pmatrix} e^a & \\ & e^{-a} \end{pmatrix} \right\} \cdot \left\{ \begin{pmatrix} 1 & u \\ & 1 \end{pmatrix} \right\}$  and  $\chi_k \left( \begin{pmatrix} e^a & \\ & e^{-a} \end{pmatrix} \right) = e^{ka}$ .

Since  $I_k|_K = \bigoplus_{n \equiv k+1(2)} \mathbb{C} e^{in\theta}$  we have the picture:



The two extreme boxes are subrepns  $D_k^\pm$  and the middle one is a quotient  $F_k$  (the f.d. repn of dimension  $k$ ), so we can make (nontrivial) extensions

$$0 \rightarrow D_k^\pm \rightarrow I_k/D_k^\mp \rightarrow F_k \rightarrow 0 \quad \in \quad \text{Ext}^1(F_k^*, D_k^\pm).$$

Concretely, since  $\mathfrak{g}/\mathfrak{k}$  is the  $K$ -type  $+2$ , we see that  $\text{Hom}_K(\wedge^* \mathfrak{g}/\mathfrak{k}, D_k^\pm \otimes F_l)$  is zero if  $l < k$ , is the exact complex  $\mathbb{C} \rightarrow \mathbb{C}$  if  $k > l$ , and is  $\mathbb{C}[-1]$  if  $k = l$ . Thus

$H^*(\mathfrak{g}, K, D_k^\pm \otimes F_k) = \mathbb{C}[-1]$  and cohomology is carried by the extreme  $K$ -type.

The cohomological repns are  $D_k^\pm$  and the trivial repn.

EXAMPLE:  $GL(2, \mathbb{R})$ . There is an irreducible  $(\mathfrak{g}, K)$ -module  $D_k$  trivial on the central  $\mathbb{R}_+$  with  $D_k|_{SL(2, \mathbb{R})} = D_k^+ \oplus D_k^-$ . The  $(\mathfrak{g}, K)$ -cohomology has rank one while  $(\mathfrak{g}, K^0)$ -cohomology has rank two. Note that  $D_k \otimes \text{sgn} \cong D_k$ .

EXAMPLE: Discrete series repns are the closed summands of  $L^2(G(\mathbb{R}))$ . Harish-Chandra showed that they exist if and only if  $G$  contains a Cartan which is compact modulo centre. Suppose  $G$  is semisimple and simply-connected (so that  $G(\mathbb{R})$  is connected) and let  $T \subset K$  be a compact Cartan. The discrete series are parametrized by dominant characters of  $T$  modulo the action of the Weyl group  $W(K, T)$ . Thus fixing the infinitesimal character they are  $|W(G, T)/W(K, T)|$  in number. Discrete series repns are cohomological: If  $V$  is a discrete series repn with the same infinitesimal character as  $E^*$ , then

$$H^*(\mathfrak{g}, K, V \otimes E) = \mathbb{C}[-\dim(G(\mathbb{R})/K)/2].$$

Thus d.s. are "atomic" for cohomology.

The interest in cohomological repns comes from the following, a version of Hodge theory:

MATSUSHIMA'S FORMULA: For  $\Gamma \subset G(\mathbb{R})$  a cocompact arithmetic group

$$H^i(\Gamma \backslash G(\mathbb{R})/K) = \bigoplus_{\pi \in L^2(\Gamma \backslash G(\mathbb{R}))} m_{\Gamma}(\pi) H^i(\mathfrak{g}, K, \pi)$$

where  $m_{\Gamma}(\pi) = \dim \operatorname{Hom}_G(\pi, L^2(\Gamma \backslash G(\mathbb{R})))$ .

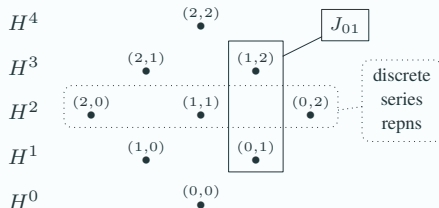
REMARK: The symmetric  $G(\mathbb{R})/K$  has a  $G(\mathbb{R})$ -invariant complex structure when  $Z(K) \cong S^1$ , e.g.  $Sp(2g)$ ,  $U(p, q)$ ,  $SO(2, n)$ . In this case  $\mathfrak{g}/\mathfrak{k} = \mathfrak{p} = \mathfrak{p}^+ \oplus \mathfrak{p}^-$ , the decomposition of  $C^*(\mathfrak{g}, K, \pi)$  passes to cohomology for  $\pi$  unitary, and the resulting decomposition of  $H^*(\Gamma \backslash G(\mathbb{R})/K)$  is the Hodge decomposition.

VANISHING THEOREM: If  $V \neq \mathbb{C}$  is unitary then  $H^*(\mathfrak{g}, K, V \otimes E) = 0$  for  $*$   $< rk_{\mathbb{R}}(G)$ .

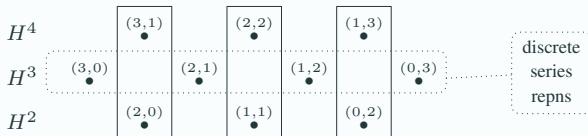
## EXAMPLES

EXAMPLE 2:  $SU(1, n)$ . For each  $(i, j)$  with  $i + j \leq n$  there is a unique cohomological repn  $J_{ij}$  which has one-dim'l cohomology in each bidegree  $(i, j), (i + 1, j + 1), \dots, (n - j, n - i)$ . If  $i + j = n$  these are discrete series repns and  $J_{00} = \mathbb{C}$ .

It is helpful to think in terms of the Hodge diamond. For  $n = 2$  it is



EXAMPLE:  $Sp(4, \mathbb{R})$ . Ignoring the trivial repn and  $H^1 = H^5 = 0$ , we have



There are eight nontrivial cohomological repns: Four d.s. with  $H^3 \neq 0$  and three nontempered repns with cohomology indicated by boxes.

# VOGAN-ZUCKERMAN CLASSIFICATION

Unitary cohomological reps were classified in the early 1980s by work of Parthasarathy, Kumaresan, and Vogan-Zuckerman.

The end result is that they are all constructed by cohomological induction (which can usually be treated as a black box) from unitary characters on certain non-real parabolic subalgebras of  $\mathfrak{g}$ . (This is formally parallel to Deligne-Lusztig theory.)

Let  $\theta : \mathfrak{g} \rightarrow \mathfrak{g}$  be the complexified Cartan involution given by  $K$ .

**DEFINITION:** A  $\theta$ -stable parabolic subalgebra for  $G$  is a parabolic subalgebra  $\mathfrak{q} \subset \mathfrak{g}$  such that (1)  $\theta(\mathfrak{q}) = \mathfrak{q}$  and (2)  $\mathfrak{l} = \mathfrak{q} \cap \bar{\mathfrak{q}}$  is a Levi subalgebra.

Notice that  $\mathfrak{q} = \mathfrak{l} + \mathfrak{u}$  is not defined over  $\mathbb{R}$  unless  $\mathfrak{q} = \mathfrak{g}$ , i.e. it does not come from  $\mathfrak{g}_0$ , but  $\mathfrak{l}$  is the Lie algebra of a connected reductive subgroup  $L \subset G$ .

Given a  $\theta$ -stable  $\mathfrak{q}$  and a unitary character  $\lambda : \mathfrak{l} \rightarrow \mathbb{C}$ , cohomological induction produces a unitary  $(\mathfrak{g}, K)$ -module  $A_{\mathfrak{q}}(\lambda)$  which has, for the correct  $E$ ,

$$H^*(\mathfrak{g}, K, A_{\mathfrak{q}}(\lambda) \otimes E) = H^{*-\dim \mathfrak{u} \cap \mathfrak{p}}(\mathfrak{l}, K \cap L, \mathbb{C}) = H^{*-\dim \mathfrak{u} \cap \mathfrak{p}}(L^u / K \cap L).$$

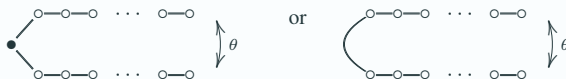
**VOGAN-ZUCKERMAN (1984):** Every unitary cohomological  $(\mathfrak{g}, K)$ -module is an  $A_{\mathfrak{q}}(\lambda)$ .

Since  $\theta$ -stable parabolics are easily enumerated this gives an overparametrization of the unitary cohomological reps. (It can happen that  $A_{\mathfrak{q}} \cong A_{\mathfrak{q}'}$  for  $\mathfrak{q}$  not  $K$ -conjugate to  $\mathfrak{q}'$ .)

For coefficients: Restrict to  $\mathfrak{q}$  s.t.  $A_{\mathfrak{q}}(\lambda)$  has correct infinitesimal character.

EXAMPLE:  $GL(n, \mathbb{R})$

The Dynkin diagram w.r.t. a fundamental Cartan and suitable choice of  $\theta$ -stable Borel is:



if  $n$  is even or  $n$  is odd. We see that a  $\theta$ -stable parabolic containing the fixed Borel has Levi is of the form  $GL(n_0, \mathbb{R}) \times \times_{i=1}^r GL(n_i, \mathbb{C})$  where  $n_0 + 2 \sum_i n_i = n$ .

In  $GL(n, \mathbb{R})$  any two  $\theta$ -stable Borels are  $K$ -conjugate, so these are all the  $\theta$ -stable parabolics up to  $K$ -conjugacy.

This classification of cohomological reps for  $GL(n, \mathbb{R})$  was proved earlier by Speh, and has a different formulation (later).

Ex: For  $GL(2n, \mathbb{R})$  the repn given by  $n + n = 2n$  is the Speh repn.



Recall that over a field with  $k = \bar{k}$ , triples  $G \supset B \supset T$  consisting of a connected reductive group, Borel subgroup, maximal torus are classified by the based root datum:

$$(X^*(T), \Delta = \{\text{simple roots}\}, X_*(T), \check{\Delta} = \{\text{simple coroots}\})$$

The *Langlands dual group*  $\widehat{G}$  of  $G$  is the connected reductive group with based root datum

$$(X_*(T), \check{\Delta}, X^*(T), \Delta).$$

It comes equipped with a torus  $\widehat{T}$  with  $X^*(\widehat{T}) = X_*(T)$ ,  $X_*(\widehat{T}) = X^*(T)$  and Borel  $\widehat{B}$ .

The duality  $G \leftrightarrow \widehat{G}$  exchanges groups of type  $B_n$  and  $C_n$  and respects the other types. Adjoint and simply-connected groups are exchanged.

- $GL(n) \leftrightarrow GL(n)$ ,  $SL(n) \leftrightarrow PGL(n)$
- $Sp(2g) \leftrightarrow SO(2g + 1)$ ,  $PGLSp(2g) \leftrightarrow Spin(2g + 1)$
- $SO(2n) \leftrightarrow SO(2n)$

EXAMPLE: The f.d. complex representations of a complex group  $G$  are parametrized by the highest weight in  $X^*(T)/W = X_*(\widehat{T})/W = Hom(\mathbb{G}_m, \widehat{G})/\widehat{G}$ .

It is more natural to use the infinitesimal character in  $\mathfrak{t}^*/W$ , given by  $\mathbb{C}^* \rightarrow \widehat{T}$  by  $z \mapsto z^{\lambda + \check{\rho}}$  where  $\lambda = \text{h.w. of } E$ ,  $\check{\rho} = \text{half-sum of positive coroots for } \widehat{G}$ .

For groups over general fields the  $L$ -group of  $G/k$  incorporates the Galois group (in general) or the Weil group of  $k$  (in the local or global cases).

DEFINITION: The Weil group of  $\mathbb{C}$  is  $W_{\mathbb{C}} = \mathbb{C}^*$ . The Weil group of  $\mathbb{R}$  is  $W_{\mathbb{R}} = \mathbb{C}^* \sqcup j\mathbb{C}^*$  where  $j^2 = -1$ ,  $jzj^{-1} = \bar{z}$ . Thus  $1 \rightarrow W_{\mathbb{C}} \rightarrow W_{\mathbb{R}} \rightarrow \text{Gal}(\mathbb{C}/\mathbb{R}) \rightarrow 1$ . The norm  $|\cdot| : W_{\mathbb{R}} \rightarrow \mathbb{R}^*$  by  $z \mapsto z\bar{z}$  and  $j \mapsto 1$  induces  $W_{\mathbb{R}}^{ab} = \mathbb{R}^*$ .

For  $G/k$ ,  $\text{Gal}(\bar{k}/k)$  acts on the based root datum by automorphisms, with the action depending only on the inner form of  $G$ . (When  $k = \mathbb{R}$  this amounts to an involution of the diagram of  $\widehat{G}$ , for which there are not too many choices.) This can be lifted to an action by automorphisms on  $\widehat{G}$  by choosing a splitting. The Weil group acts through  $W_{\mathbb{R}} \rightarrow \text{Gal}(\mathbb{C}/\mathbb{R})$  and the  $L$ -group

$${}^L G = W_{\mathbb{R}} \ltimes \widehat{G}$$

is independent, up to isomorphism, of choices. By definition, if  $G$  is split then  ${}^L G = W_{\mathbb{R}} \times \widehat{G}$ , and  ${}^L G \cong {}^L G^*$  where  $G^*$  is the quasisplit inner form of  $G$ .

- for the quasisplit nonsplit group  $G = SO(n-1, n+1)$  the  $L$ -group is  $W_{\mathbb{R}} \ltimes SO(2n, \mathbb{C})$  where Galois acts by the nontrivial involution of the  $D_n$  diagram
- for  $G = U(n, n)$  or  $U(n, n+1)$  we have  ${}^L G = W_{\mathbb{R}} \ltimes \widehat{G}$  where one uses the involution  $g \mapsto {}^t g^{-1}$  on  $\widehat{G} = GL(2n, \mathbb{C})$  or  $GL(2n+1, \mathbb{C})$ .
- for  $p+q = 2n+1$ ,  ${}^L SO(p, q) = {}^L SO(n, n+1) = W_{\mathbb{R}} \times Sp(2n, \mathbb{C})$
- for  $p+q = 2n$ ,  ${}^L SO(p, q) = {}^L SO(n, n)$  or  ${}^L SO(n-1, n+1)$  depending on whether  $p-n$  is even or odd.
- ${}^L U(a, b) = {}^L U(n, n)$  if  $a+b = 2n$  and  ${}^L U(n, n+1)$  if  $a+b = 2n+1$ .

Forming the  $L$ -group is compatible with restriction of scalars:  ${}^L R_{\mathbb{C}/\mathbb{R}} G = W_{\mathbb{R}} \ltimes (\widehat{G} \times \widehat{G})$ .

DEFINITION: An  $L$ -parameter for  $G$  is a cts homomorphism  $\phi : W_{\mathbb{R}} \rightarrow {}^L G$  over  $W_{\mathbb{R}}$  s.t.

- (1)  $\phi(W_{\mathbb{R}})$  consists of semisimple elements and
- (2)  $\phi$  is *admissible* for  $G$ : If  $\phi$  factors through  $W_{\mathbb{R}} \rtimes \widehat{M} \subset {}^L G$  for a Levi  $\widehat{M} \subset \widehat{G}$ , then the Levi comes from a parabolic of  $G/\mathbb{R}$ . (The condition is empty for  $G$  quasisplit.)

It is *tempered* if  $\phi(W_{\mathbb{R}})$  is bounded. Let  $\Phi(G) = \{L\text{-parameters for } G\}$ .

Note that  $\Phi(G) \subset H^1(W_{\mathbb{R}}, \widehat{G})$  (if  $\phi(w) = w \rtimes a_w$  then  $w \mapsto a_w$  is a 1-cocycle).

LOCAL LANGLANDS/ $\mathbb{R}$ : There is a surjective mapping with finite fibres ( $L$ -packets, singletons for  $GL(n, \mathbb{R})$ )

$$\left\{ \begin{array}{c} \text{isomorphism classes of irreducible} \\ \text{admissible } (\mathfrak{g}, K)\text{-modules} \end{array} \right\} \longrightarrow \Phi(G)/\widehat{G}$$

under which tempered reps correspond to tempered parameters (+ more).

The theorem follows from: (1) Every repn is the unique irreducible quotient of an induced repn from a tempered repn with a positive character. (2) Every tempered repn is unitarily induced from a discrete series. (3) Harish-Chandra's parametrization of discrete series.

REMARKS: Under  $H^1(W_{\mathbb{R}}, Z(\widehat{G})) \rightarrow \text{Hom}_{cts}(G(\mathbb{R}), \mathbb{C}^*)$ , twisting parameters corresponds to twisting repns by characters, e.g.  $\pi|det|^s \mapsto |\cdot|^s \phi_{\pi}$  for  $GL(n, \mathbb{R})$ .

REMARKS: (i) Unitarity does not work well with LL. (ii)  $\Phi(G)/\widehat{G}$  has geometry!

## EXAMPLES

REMARK: The infinitesimal character of an  $L$ -parameter  $\phi : W_{\mathbb{R}} \rightarrow {}^L G$  is defined as follows. We may conjugate so that  $\phi(\mathbb{C}^*) \subset \widehat{T}$ . Any continuous  $\mathbb{C}^* \rightarrow \widehat{T}$  is given by

$$z \mapsto z^{\lambda} \bar{z}^{\mu} \quad \text{for } \lambda, \mu \in X_*(\widehat{T})_{\mathbb{C}}, \lambda - \mu \in X_*(\widehat{T}).$$

(Here  $z^{\lambda} \bar{z}^{\mu}$  means the point at which a character  $\nu \in X^*(\widehat{T})$  takes value  $z^{\langle \nu, \lambda \rangle} \bar{z}^{\langle \nu, \mu \rangle}$ .) Then  $\mu \in X_*(\widehat{T})_{\mathbb{C}} = \mathfrak{t}^*$  is the infinitesimal character of  $\phi$ . With this definition, LL respects infinitesimal characters.

EXAMPLE: Parameters of  $GL(n)$  are semisimple reps of  $W_{\mathbb{R}}$  of dimension  $n$ . The irreducible reps of  $W_{\mathbb{R}}$  are of dimension one or two, and include:

- $\omega_{\mathbb{R}} | \cdot |^s$  for  $s \in \mathbb{C}^*$ , where  $\omega_{\mathbb{R}}$  is the sign character of  $\mathbb{R}^*$
- for  $\left(\frac{z}{\bar{z}}\right)^{1/2}$  denoting the unitary character  $z = re^{i\theta} \mapsto e^{i\theta}$  of  $\mathbb{C}^*$ , we have

$$\sigma_k = \text{Ind}_{W_{\mathbb{C}}}^{W_{\mathbb{R}}} \left( \frac{z}{\bar{z}} \right)^{k/2}$$

for  $k \geq 1$ . Explicitly,  $z \mapsto \text{diag}\left(\left(\frac{z}{\bar{z}}\right)^{k/2}, \left(\frac{z}{\bar{z}}\right)^{-k/2}\right)$  and  $j \mapsto \begin{pmatrix} 0 & (-1)^k \\ 1 & 0 \end{pmatrix}$ .

So any sum of such reps with total dimension  $n$  labels a unique repn of  $GL(n, \mathbb{R})$ .

EXAMPLE:  $\sigma_k$  is the parameter of the discrete series  $D_k$  for  $GL(2, \mathbb{R})$ . For  $k$  odd the parameter lands in  $SL(2, \mathbb{C})$  and the corresponding repn comes from  $PGL(2, \mathbb{R})$ .

The existence of such a formalism has consequences:

- $L$ -packets of repns are functorial in homomorphisms of the dual group, i.e. given  ${}^L G_1 \rightarrow {}^L G_2$  can transfer packets of repns from  $G_1(\mathbb{R})$  to  $G_2(\mathbb{R})$ .
- $L$ -packets on  $G$  transfer to the quasisplit inner form  $G^*$  since  $\Phi(G) \subset \Phi(G^*)$
- If  $G$  is an inner form of a compact group  $G^u$  then a f.d. repn  $E$  of  $G^u$  transfers to a packet on  $G(\mathbb{R})$ . This is the packet of discrete series with infinitesimal character equal to that of  $E$ .

Under these transfers other invariants (e.g. character values, etc) are related. E.g. this “explains” the formal relation between H-C character formula for d.s. and Weyl’s character formula.

The classification of the unitary dual is a difficult unsolved problem. Fortunately, not all unitary repns are relevant to the discrete spectrum of automorphic forms. To understand the contribution of nontempered repns to the discrete spectrum Arthur introduced  $A$ -parameters and  $A$ -packets.

DEFINITION: An *A-parameter* is a cts homom  $\psi : W_{\mathbb{R}} \times SL_2(\mathbb{C}) \rightarrow {}^L G$  over  $W_{\mathbb{R}}$  s.t.

- (1)  $\psi|_{W_{\mathbb{R}}}$  is a tempered  $L$ -parameter
- (2)  $\psi|_{SL_2(\mathbb{C})}$  is algebraic.

Let  $\Psi(G)$  be the set of  $A$ -parameters. The retraction

$$\Psi(G) \rightarrow \Phi(G) \quad \text{by} \quad \psi \mapsto \phi_{\psi}(w) := \psi \left( w, \begin{pmatrix} |w|^{1/2} & \\ & |w|^{-1/2} \end{pmatrix} \right)$$

induces  $\Psi(G)/\widehat{G} \hookrightarrow \Phi(G)/\widehat{G}$ .

Arthur conjectures that for each  $\psi \in \Psi(G)$  there is an  $A$ -packet  $\Pi(\psi)$  of *unitary* reps s.t.

- (1) certain signed combinations of the characters in  $\Pi(\psi)$  are stable distributions on  $G$
- (2)  $\Pi(\phi_{\psi}) \subset \Pi(\psi)$  for  $G$  quasisplit
- (3) more conditions ...

and the local components of discrete automorphic reps for  $G$  should belong to  $A$ -packets.

Local  $A$ -packets may overlap but global  $A$ -packets are disjoint.

$A$ -packets for cohomological reps were defined by Adams-Johnson (1987). General  $A$ -packets were defined for real groups by Adams-Barbasch-Vogan (1994) (using the geometry of  $\Phi(G)/\widehat{G}$ ) and for many classical groups by Arthur (2013) (using global harmonic analysis). Their agreement has been verified (Arancibia-Mœglin-Renard (2017), others).

We will now describe the parameters of (Adams-Johnson)  $A$ -packets of cohomological reps. For simplicity we mainly consider trivial coefficients ( $E = \mathbb{C}$ ), making remarks about the general case.

Let  $H$  be a  $\theta$ -stable fundamental torus, so that  $H = TA$  with  $T$  maximally compact. We may choose a  $\theta$ -stable Borel  $\mathfrak{b}$  containing  $\mathfrak{h}$ . The  $\theta$ -stable parabolic subalgebras  $\mathfrak{q} \supset \mathfrak{b}$  are classified by subsets of the simple roots of  $H$  in  $G$  fixed by  $B$ . Since

$$(X^*(H), \Delta_G, X_*(H), \check{\Delta}_G) = (X_*(\hat{H}), \check{\Delta}_{\hat{G}}, X^*(\hat{H}), \Delta_{\hat{G}})$$

this gives a subset of the simple roots of the dual group and hence a parabolic  $\hat{Q} \supset \hat{B}$ . The condition that  $\mathfrak{q}$  is  $\theta$ -stable translates to the following condition on  $\hat{Q}$ :

**DEFINITION:** A *self-associate parabolic* for  $G$  is a parabolic  $\hat{Q} \subset \hat{G}$  which is conjugate to its opposite parabolic under  $j \ltimes \hat{G} \subset {}^L G$ . (Necessarily it is then conjugate by  $j \ltimes w_0$  where  $w_0$  is the longest element of  $W(\hat{G}, \hat{T})$ .)

We have a diagram:

$$\begin{array}{ccc} \{\theta\text{-stable parabolic subalgebras}\} / K & \xrightarrow{\mathfrak{q} \mapsto \hat{Q}} & \{\text{self-associate parabolics in } \hat{G}\} \\ \downarrow \mathfrak{q} \mapsto A_{\mathfrak{q}} & & \\ \{\text{cohomological reps}\} & & \end{array}$$

The Adams-Johnson  $A$ -packets are  $\Pi_{\hat{Q}} = \{A_{\mathfrak{q}} : \mathfrak{q} \mapsto \hat{Q}\}$ , i.e. they are indexed by self-associate  $\hat{Q} \subset \hat{G}$ . If  $K^0 \neq K$  one must twist by characters of  $G(\mathbb{R})/G(\mathbb{R})^0 = K/K^0$  to get all  $(\mathfrak{g}, K^0)$ -cohomological reps.

Recall that a unipotent element in a complex group  $H$  is called *regular* if its centralizer has minimal dimension, equal to the rank of  $H$ . Regular unipotents exist and are all conjugate. Given a regular unipotent  $u$  there is a homomorphism  $SL_2(\mathbb{C}) \rightarrow H$  taking  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  to  $u$ , and this *principal*  $SL_2$  is unique up to conjugacy. (Ex: for  $SL(n, \mathbb{C})$  take  $Sym^{n-1}$ ).

Now with a self-associate parabolic  $\widehat{Q}$  we will associate an  $A$ -parameter. First we produce the complex parameter  $W_{\mathbb{C}} \times SL_2(\mathbb{C}) \rightarrow {}^L G$ . Standard properties of the principal  $SL_2$  allows us to write down a parameter  $\mathbb{C}^* \times SL_2(\mathbb{C}) \rightarrow {}^L G$  s.t.

- the infinitesimal character is that of the trivial repn (or any given f.d. repn  $E$  in general)
- the image of  $\mathbb{C}^*$  lies in  $\widehat{T}^{j \times w_0 = -1}$  and its centralizer is the Levi  $\widehat{L}$  of  $\widehat{Q}$
- $SL_2(\mathbb{C})$  is mapped to a principal  $SL_2$  in  $\widehat{L}$

These properties suffice to extend the parameter:

### THEOREM 1

*The parameter  $W_{\mathbb{C}} \times SL_2(\mathbb{C}) \rightarrow {}^L G$  defined by a self-associate parabolic in  $\widehat{G}$  extends to an  $A$ -parameter  $W_{\mathbb{R}} \times SL_2(\mathbb{C})$ . The possible extensions modulo conjugacy has a transitive action of  $H^1(W_{\mathbb{R}}, Z(\widehat{G}))$ .*

In particular, there is a unique extension if  $G$  is simply-connected. This gives:

### COROLLARY 2

*If  $G$  is simply-connected then the  $A$ -packets of cohomological repns for trivial coefficients are in bijection with standard self-associate parabolics of the dual group.*



## EXAMPLES

EXAMPLE: If  $G$  has discrete series (i.e.  $G$  and  $G^*$  are inner forms) then any parabolic is self-associate and the theorem/corollary are well-known.

EXAMPLE: If  $G = R_{\mathbb{C}/\mathbb{R}}G'$  is a complex group then self-associate parabolics of the dual group correspond to parabolics of  $G$  which come from  $G'$ .

EXAMPLE: For  $GL(n, \mathbb{R})$  a self-associate parabolic in  $GL(n, \mathbb{C})$  is given by an ordered partition  $\sum_i n_i = n$  satisfying  $(n_1, \dots, n_r) = (n_r, \dots, n_1)$ . The cohomological  $A$ -parameter for this parabolic is given, according to the parity of  $r$ , by:

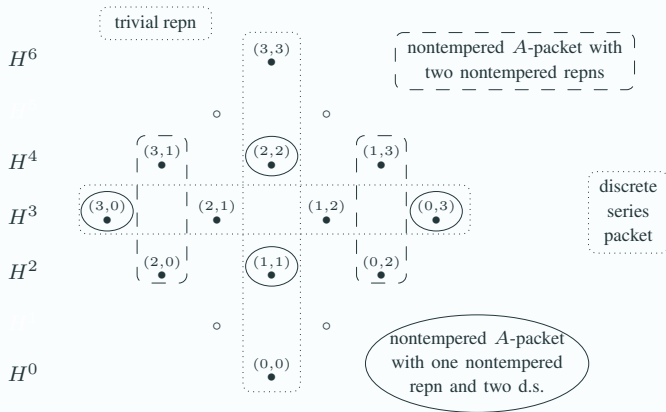
$$\psi = \sum_{i \geq 1}^{r/2} \sigma_{k_i} \otimes [n_i] \quad \text{or} \quad \sum_{i \geq 1}^{(r-1)/2} \sigma_{k_i} \otimes [n_i] + \omega_{\mathbb{R}}^? \otimes [n_{(r+1)/2}]$$

where  $? \in \{0, 1\}$ ,  $[a]$  denotes the  $a$ -dim'l irreducible repn of  $SL_2(\mathbb{C})$ , and the  $k_i$  are determined by the required infinitesimal character. The  $L$ -parameters are  $\phi_\psi$  for such  $\phi$ .

The tempered parameters come from  $(1, \dots, 1)$  and is unique if  $n$  is even and has two possibilities for  $n$  odd.

EXAMPLE: The Spnh repn of  $GL(2n, \mathbb{R})$  corresponds to the partition  $n + n = 2n$ . It has  $A$ -parameter  $\sigma_n \otimes [n]$  and is cohomological for  $E = \mathbb{C}$ .

EXAMPLE:  $Sp(4, \mathbb{R})$ . There are four cohomological  $A$ -packets:



### THEOREM 3

*If  $G(\mathbb{R})$  is connected then there is a unique  $A$ -packet consisting of tempered cohomological repns, with parameter given by*

$$W_{\mathbb{R}} \xrightarrow{id \times \sigma_1} W_{\mathbb{R}} \times SL_2(\mathbb{C}) \longrightarrow {}^L G$$

*where the second comes from a Galois-stable principal  $SL_2(\mathbb{C}) \rightarrow \widehat{G}$ . (In general, one must also allow twisting by characters of  $K/K^0$  of order two, and similarly for parameters.)*

If  $G$  has discrete series then the tempered packets consist of d.s. repns. In general they are fundamental series. Thus for  $GL(2n, \mathbb{R})$  it is a single repn.

For an  $A$ -parameter  $\psi : W_{\mathbb{R}} \times SL_2(\mathbb{C}) \rightarrow {}^L G$  define its tempered companion  $L$ -parameter  $T(\psi)$  by

$$W_{\mathbb{R}} \xrightarrow{id \times \sigma_1} W_{\mathbb{R}} \times SL_2(\mathbb{C}) \xrightarrow{\psi} {}^L G.$$

(This is the analogue of the tempered companion parameter in the  $p$ -adic case given by restriction to the "diagonal  $SL_2$ " in  $W'_F \times SL_2(\mathbb{C}) \times SL_2(\mathbb{C})$  (Mœglin).)

### THEOREM 4

*An  $A$ -parameter  $\psi : W_{\mathbb{R}} \times SL_2(\mathbb{C}) \rightarrow {}^L G$  is cohomological if and only if  $T(\psi)$  is a tempered cohomological parameter for  $G$ .*

Now consider  $r : (\widehat{G}_1 \supset \widehat{B}_1 \supset \widehat{T}_1) \rightarrow (\widehat{G}_2 \supset \widehat{B}_2 \supset \widehat{T}_2)$  that takes a regular unipotent to a regular unipotent. (Ex:  $Sym^{n-1} : SL_2(\mathbb{C}) \rightarrow GL(n, \mathbb{C})$ .)

Then  $r_* : X_*(\widehat{T}_1) \rightarrow X_*(\widehat{T}_2)$  takes  $\check{\rho}_1$  to  $\check{\rho}_2$  (where  $\check{\rho}$  is the half-sum of positive coroots). Then functoriality takes the f.d. repns of  $G_1(\mathbb{C})$  to f.d. repns of  $G_2(\mathbb{C})$ , taking the repn with h.w.  $\lambda \in X_*(\widehat{T}_1)$  to the one with h.w.  $r_*(\lambda)$ .

### THEOREM 5

*Let  $r : {}^L G_1 \rightarrow {}^L G_2$  be a homomorphism of  $L$ -groups such that a regular unipotent in  $\widehat{G}_1$  goes to a regular unipotent in  $\widehat{G}_2$ . Then*

- (1)  $\psi \mapsto r \circ \psi$  takes cohomological parameters for  $G_1$  to cohomological parameters for  $G_2$
- (2) Conversely, if  ${}^L G_1 \rightarrow {}^L G_2$  has abelian kernel and if  $r \circ \psi$  is cohomological for  $G_2$  then  $\psi$  is cohomological for  $G_1$ .

*(Here cohomological means  $(\mathfrak{g}, K^0)$ -cohomological. If we work with coefficients then we must transfer coefficients as above.)*

If  $r$  has the regular unipotent property then there is a natural way to transfer parabolics and Levis between  $\widehat{G}_1$  and  $\widehat{G}_2$ , which has the property that  $L_1 \leftrightarrow L_2$  if a regular unipotent in  $L_1$  goes to one in  $L_2$ , and conversely, if a unipotent in  $L_1$  goes to a regular unipotent in  $L_2$  then it must be regular in  $L_1$ . Given this observation the theorem and the characterization of parameters in Theorem 1 the theorem follows easily.

As a corollary, the transfer of a tempered cohomological packet under such an  $L$ -homomorphism is always a tempered cohomological packet. Other instances where functoriality takes cohomological packets to cohomological packets also follow.

## EXAMPLES: CLASSICAL GROUPS

The inclusions of complex groups  $\widehat{G}_1 \subset \widehat{G}_2$  with  $\widehat{G}_1$  simple for which a regular unipotent in  $\widehat{G}_1$  is regular unipotent in  $\widehat{G}_2$  are:

- $Sp(2g, \mathbb{C}) \subset GL(2g, \mathbb{C})$
- $SO(2n+1, \mathbb{C}) \subset GL(2n+1, \mathbb{C})$
- $SO(2n-1, \mathbb{C}) \subset SO(2n, \mathbb{C})$
- $F_4 \subset E_6$ ,  $G_2 \subset Spin(7, \mathbb{C}) \subset SO(8, \mathbb{C})$ ,  $G_2 \subset SO(7, \mathbb{C}) \subset SL(7, \mathbb{C})$
- $\widehat{G}_1$  is a principal  $SL_2(\mathbb{C})$  in  $\widehat{G}_2$

As a corollary to the previous theorem the parameters of some classical groups can be described in terms of the well-understood parameters of  $GL(n)$ .

### THEOREM 6

(1) Let  $G$  be  $Sp_{2g}(\mathbb{R})$ , or  $SO(p, q)$  with  $p + q$  odd. Then there is a natural embedding  $r : {}^L G \hookrightarrow W_{\mathbb{R}} \times SL(N, \mathbb{C})$  for  $N = 2g + 1$  or  $N = 2p + 2q$ . An  $A$ -parameter  $\psi$  for  $G(\mathbb{R})$  is cohomological if and only if  $r \circ \psi$  is a cohomological  $A$ -parameter for  $PGL_N(\mathbb{R})$ . A cohomological  $A$ -parameter for  $PGL_N(\mathbb{R})$  is automatically an  $A$ -parameter (hence cohomological) for the symplectic group if  $N$  is odd.

(2) If  $m = 2n$  is even, a cohomological parameter for  $GL(2n, \mathbb{R})/\mathbb{R}^+$  with values in  $GL(2n, \mathbb{C})$  is either symplectic or orthogonal (as a representation of  $W_{\mathbb{R}} \times SL_2(\mathbb{C})$ ). In the first case it is a parameter for  $SO(p, q)(\mathbb{R})$  for  $p + q = 2n + 1$ , and in the second case, it is a parameter for  $SO(p, q)(\mathbb{R})$  for  $p + q = 2n$ , with the parity of  $p$  fixed by the determinant.

(3) If  $G = U(p, q)$ , then an  $A$ -parameter for  $G$  is cohomological if and only if its restriction to  $W_{\mathbb{C}}$  is a cohomological  $A$ -parameter for  $(R_{\mathbb{C}/\mathbb{R}} GL(m))(\mathbb{R}) = GL(m, \mathbb{C})$ ,  $m = p + q$ .

The sum of dimensions of cohomology in an  $A$ -packet is independent of the  $A$ -packet:

## THEOREM 7

Let  $H = TA$  be a  $\theta$ -stable fundamental torus with compact part  $T$  and split part  $A$ . For an  $A$ -packet  $\Pi = \Pi(\psi)$  of cohomological reps,

$$\sum_{\pi \in \Pi} \sum_{i \geq 0} \dim H^i(\mathfrak{g}, K, \pi) = 2^\delta \left| \frac{W(G, H)^\theta}{W(G(\mathbb{R}), H(\mathbb{R}))} \right|$$

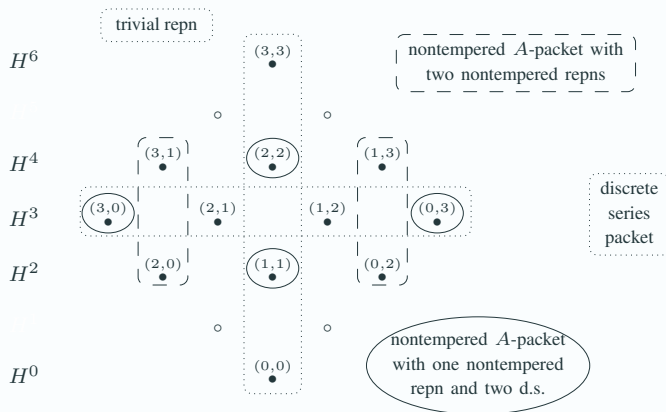
where  $\delta = \dim A$  is the discrete series defect,  $W(G, H)^\theta = \{w \in W(G, H) : w\theta = \theta w\}$ , and  $W(G(\mathbb{R}), H(\mathbb{R}))$  is the subgroup of elements with representatives in  $G(\mathbb{R})$ .

The Adams-Johnson parametrization of  $A$ -packets and the induction formalism reduces the general case to the case  $\Pi = \{triv\}$ , which is  $\sum_i \dim H^i(G^u/K) = 2^\delta \left| \frac{W(G, H)^\theta}{W(G(\mathbb{R}), H(\mathbb{R}))} \right|$ . For this simply compute the Lefschetz trace of  $gK \mapsto \theta(tgK) = \theta(tg)K$  on  $G^u/K$  where  $\overline{\langle t \rangle} = T$  and  $\theta$  is the global Cartan involution.  $\square$

QUESTIONS: Can one explain the  $2^\delta$  geometrically? Can one realize this as a global statement?

# EXAMPLE

EXAMPLE:  $Sp(4, \mathbb{R})$ . We have the Hodge diamond



The sum of dimensions is 4.

There is an easy "wrong" answer to the first question given by the reduction to the fundamental Levi  $M = Z_G(A)$ . Choosing a parabolic  $P$  with Levi  $M$  gives an embedding  $\widehat{M} \subset \widehat{G}$ .

For a self-associate parabolic  $\widehat{Q} \subset \widehat{G}$  the Adams-Johnson packet  $\Pi_{\widehat{Q}}$  for  $G$  and the Adams-Johnson packet  $\Pi_{\widehat{Q} \cap \widehat{M}}$  for  $M$  are in bijection and there is an equality

$$\sum_i \dim H^i(\mathfrak{g}, K, A_{\mathfrak{q}}) = \sum_i \dim H^i(\mathfrak{m}, K \cap M, A_{\mathfrak{q} \cap \mathfrak{m}})$$

for any  $\theta$ -stable parabolic subalgebra  $\mathfrak{q}$  for  $G$ . (The inverse of  $A_{\mathfrak{q}} \mapsto A_{\mathfrak{q} \cap \mathfrak{m}}$  is given by sending  $\pi_M$  to the Langlands quotient of  $\text{Ind}_P^G \pi_M$ .) Similarly, well-known facts about Weyl groups in real groups give

$$\frac{W(G, H)^\theta}{W(G(\mathbb{R}), H(\mathbb{R}))} = \frac{W(M, H)}{W(M(\mathbb{R}), H(\mathbb{R}))}.$$

Thus the equality of dimensions for  $G$  is equivalent to the one for  $M$ , which is

$$\sum_{\pi \in \Pi_{\widehat{Q} \cap \widehat{M}}} \sum_{i \geq 0} \dim H^i(\mathfrak{m}, K \cap M, \pi) = 2^\delta \left| \frac{W(M, H)}{W(M(\mathbb{R}), H(\mathbb{R}))} \right|.$$

In this equality the factor  $2^\delta$  is geometric: It comes from the free action of  $A^u = A(\mathbb{C}) \cap G^u$  on  $M^u/K \cap M$  and  $H^*(M^u/K \cap M) = \wedge^* \mathfrak{a}^* \otimes H^*(A^u \backslash M^u/K \cap M)$ .  $\square$

But perhaps a better explanation is possible combining the two questions ...