DIRICHLET'S THEOREM FOR INHOMOGENEOUS APPROXIMATION

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GOA 2016

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> Kleinbock and Wadleigh

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Theorem (Dirichlet): for any $A \in M_{m \times n}(\mathbb{R})$ and any T > 1 $\exists \mathbf{p} \in \mathbb{Z}^m, \mathbf{q} \in \mathbb{Z}^n \setminus \{0\}$ such that

(1)
$$||A\mathbf{q}-\mathbf{p}||^m \leq \frac{1}{T} \text{ and } ||\mathbf{q}||^n \leq T.$$

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$$||A\mathbf{q} - \mathbf{p}||^m \leq \frac{1}{T} \text{ and } ||\mathbf{q}||^n \leq T.$$

Corollary (Dirichlet): for any $A \in M_{m \times n}(\mathbb{R})$ $\exists \infty \text{ many } \mathbf{q} \in \mathbb{Z}^n \text{ such that}$

(2)
$$||A\mathbf{q} - \mathbf{p}||^m \leq \frac{1}{||\mathbf{q}||^n}$$
 for some $\mathbf{p} \in \mathbb{Z}^m$.

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Corollary (Dirichlet): for any $A \in M_{m \times n}(\mathbb{R})$ $\exists \infty \text{ many } \mathbf{q} \in \mathbb{Z}^n \text{ such that}$

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 for some $\mathbf{p} \in \mathbb{Z}^m$.

Questions: what happens if in (1) and (2) the RHS is replaced by a faster decreasing function of T and $||\mathbf{q}||$ respectively? In particular, what happens for typical A?

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Questions: what happens if in (1) and (2) the RHS is replaced by a faster decreasing function of T and $||\mathbf{q}||$ respectively? In particular, what happens for typical A?

Well studied in the setting of (2), not so well for (1).

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(2 ψ) $\|A\mathbf{q} - \mathbf{p}\|^m \le \psi(\|\mathbf{q}\|^n)$ for some $\mathbf{p} \in \mathbb{Z}^m$.

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Khintchine-Groshev Theorem: given a non-increasing ψ , the set $W(\psi)$ has zero (resp. full) measure if and only if the series $\sum_{k} \psi(k)$ converges (resp. diverges).

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Note: $W(\psi)$ is a **limsup set**. In fact it is easy to see that $A \in W(\psi)$ if and only if the system

(1
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) $\|A\mathbf{q} - \mathbf{p}\|^m \le \psi(T) \text{ and } \|\mathbf{q}\|^n \le T$

has a nontrivial integer solution for ∞ many $T \in \mathbb{N}$.

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Now we see that to improve the original Dirichlet's Theorem, not its corollary, we need to consider a corresponding **liminf set**!

Definition: $DI(\psi)$ is the set of ψ -Dirichlet-improvable matrices,

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Questions:

• What is the necessary and sufficient condition for (non-increasing) ψ so that $DI(\psi)$ has zero/full measure?

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Questions:

• What is the necessary and sufficient condition for (non-increasing) ψ so that $DI(\psi)$ has zero/full measure? (Not known)

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- Why is this problem more difficult? after all there is a duality between limsup and liminf sets, $\lim \inf_k E_k = (\lim \sup_k E_k^c)^c$.

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- Why is this problem more difficult? after all there is a duality between limsup and liminf sets, $\liminf_k E_k = (\limsup_k E_k^c)^c$.. (Yes, but E_k^c are way more complicated and harder to work with...)

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• The Khintchine-Groshev Theorem can also be proved using dynamics [K-Margulis, 1999]. Can the same approach work here?



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Recall: if one takes d = m + n and $X = SL_d(\mathbb{R})/SL_d(\mathbb{Z})$ (the space of unimodular lattices in \mathbb{R}^d), then the Diophantine properties of A can be understood via the trajectory $\{g_t\Lambda_A : t \ge 0\}$, where DIRICHLET'S THEOREM FOR INHO-MOGENEOUS APPROXIMA-TION

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$$\Lambda_{\mathcal{A}} = \begin{pmatrix} I_m & \mathcal{A} \\ 0 & I_n \end{pmatrix} \mathbb{Z}^d = \left\{ \begin{pmatrix} \mathcal{A}\mathbf{q} - \mathbf{p} \\ \mathbf{q} \end{pmatrix} : \mathbf{p} \in \mathbb{Z}^m, \ \mathbf{q} \in \mathbb{Z}^n \right\}$$

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Minkowski's Lemma: $\delta(\Lambda) \leq 1$ for any $\Lambda \in X$.

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Minkowski's Lemma: $\delta(\Lambda) \leq 1$ for any $\Lambda \in X$.

Mahlers's Criterion: $\delta(\Lambda)$ is very small $\leftrightarrow \Lambda$ is far far away in X.

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Lemma [K-Margulis '99]: given a non-increasing ψ , there exists a function $r : \mathbb{R}_+ \to \mathbb{R}_+$ such that the system

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) $\|A\mathbf{q} - \mathbf{p}\|^m \le \psi(T) \text{ and } \|\mathbf{q}\|^n \le T$

has a nontrivial integer solution for some $T \iff \delta(g_t \Lambda_A) \le r(t)$,

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has a nontrivial integer solution for some $T \iff \delta(g_t \Lambda_A) \le r(t)$, with t explicitly depending on T.

So the setting of (2) is about the family of targets

 $\{\Lambda \in X : \delta(\Lambda) \leq r\}$

shrinking to ∞ as $r \rightarrow 0$.

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On the other hand, in the setting of (1) one needs to consider a family of complements to the above sets:

$$\{\Lambda \in X : \delta(\Lambda) > r\},\$$

which shrink to a certain compact set as $r \rightarrow 1$.

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Corollary: $A \notin DI(\psi) \iff \delta(g_t \Lambda_A) > r(t)$ for an unbounded set of t.



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Corollary: $A \notin DI(\psi) \iff \delta(g_t \Lambda_A) > r(t)$ for an unbounded set of *t*.

But the family of shrinking targets $\{\delta^{-1}((r, 1])\}$ is kind of complicated. Some partial results (for slowly decaying functions ψ) can be obtained, not a complete solution yet.

Example: put $\psi_c(T) = \frac{c}{T}$ where c < 1. This corresponds to

$$r(t) \equiv 1 - \varepsilon, \quad \varepsilon > 0.$$

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Example: put $\psi_c(T) = \frac{c}{T}$ where c < 1. This corresponds to

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By ergodicity of the g_t -action on X,

$$\{\Lambda \in X : \delta(g_t\Lambda) > 1 - \varepsilon \text{ for all large enough } t\}$$

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Dani Correspondence

Corollary: $A \notin DI(\psi) \iff \delta(g_t \Lambda_A) > r(t)$ for an unbounded set of t.

But the family of shrinking targets $\{\delta^{-1}((r, 1])\}$ is kind of complicated. Some partial results (for slowly decaying functions ψ) can be obtained, not a complete solution yet.

Example: put $\psi_c(T) = \frac{c}{T}$ where c < 1. This corresponds to

$$r(t) \equiv 1 - \varepsilon, \quad \varepsilon > 0.$$

By ergodicity of the g_t -action on X,

$$\{\Lambda \in X : \delta(g_t\Lambda) > 1 - \varepsilon \text{ for all large enough } t\}$$

has measure 0, therefore $DI(\psi_c)$ has measure zero (Davenport and Schmidt 1969).

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The set-up: we now have $A \in M_{m \times n}(\mathbb{R})$ and $\mathbf{b} \in \mathbb{R}^m$, and look for the following statement:

for any T > 1 (or at least any large enough T) $\exists \mathbf{p} \in \mathbb{Z}^m$, $\mathbf{q} \in \mathbb{Z}^n$ such that

(1)
$$||A\mathbf{q} + \mathbf{b} - \mathbf{p}||^m \le ????$$
 and $||\mathbf{q}||^n \le T$

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(there are no reasons to exclude $\mathbf{q} = 0$ anymore).

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And what to put instead of ????

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Turns out that no function which goes to 0 as $T \to \infty$ will work!

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And even we exclude stupid rational cases, there will always be irrational counterexamples (Khintchine).

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$$||A\mathbf{q} + \mathbf{b} - \mathbf{p}||^m \le 2^{-m}$$
 and $||\mathbf{q}||^n \le T$

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(which is not much).

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Still something can be said in the setting of (2).

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Theorem (Minkowski?): there exist constants $C_{m,n}$ such that for $A \in M_{m \times n}(\mathbb{R})$ and $\mathbf{b} \in \mathbb{R}^m \exists \infty \text{ many } \mathbf{q} \in \mathbb{Z}^n$ with

(2)
$$||A\mathbf{q} + \mathbf{b} - \mathbf{p}||^m \le \frac{C_{m,n}}{||\mathbf{q}||^n}$$
 for some $\mathbf{p} \in \mathbb{Z}^m$,

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unless there exists $\mathbf{u} \in \mathbb{Z}^m$ such that $A^T \mathbf{u} \in \mathbb{Z}^n$ but $\mathbf{b}^T \mathbf{u} \notin \mathbb{Z}$.

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This is a starting point for inhomogeneous Khintchine-Groshev theory.

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Definition: $\widehat{W}(\psi)$ is the set of ψ -approximable pairs (A, \mathbf{b}) ,

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$$(\widehat{2\psi})$$
 $\|A\mathbf{q} + \mathbf{b} - \mathbf{p}\|^m \le \psi(\|\mathbf{q}\|^n)$ for some $\mathbf{p} \in \mathbb{Z}^m$.

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The work of Cassels and Schmidt gives precise conditions on ψ such that $\widehat{W}(\psi)$, or even $\{A : (A, \mathbf{b}) \in \widehat{W}(\psi)\}$ for fixed \mathbf{b} , has zero/full measure.

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Let us now try to apply the same approach to the (non-existing) inhomogeneous Dirichlet's theorem.

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Let us now try to apply the same approach to the (non-existing) inhomogeneous Dirichlet's theorem.

Definition: $\widehat{DI}(\psi)$ is the set of ψ -Dirichlet-improvable pairs (A, \mathbf{b}) ,

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Definition: $\widehat{Dl}(\psi)$ is the set of ψ -Dirichlet-improvable pairs (A, \mathbf{b}) ,

that is, those for which the system

$$(\widehat{1\psi})$$
 $\|A\mathbf{q} + \mathbf{b} - \mathbf{p}\|^m \le \psi(T)$ and $\|\mathbf{q}\|^n \le T$

has an integer solution for all large enough T.

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Again one can ask: for which (non-increasing) ψ the set $\widehat{Dl}(\psi)$ has zero/full measure?

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Again one can ask: for which (non-increasing) ψ the set $\widehat{Dl}(\psi)$ has zero/full measure? Not clear how to do it using classical methods.

However the dynamical approach works and produces a definitive result (so in some sense the inhomogeneous version is easier than its homogeneous counterpart!)

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Here one has to take $\widehat{X} = \text{ASL}_d(\mathbb{R})/\text{ASL}_d(\mathbb{Z})$ (the space of unimodular grids in \mathbb{R}^d), DIRICHLET'S THEOREM FOR INHO-MOGENEOUS APPROXIMA-TION

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$$\{g_t \Lambda_{A,\mathbf{b}} : t \ge 0\},\$$

where

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$$\Lambda_{A,\mathbf{b}} = \begin{pmatrix} I_m & A\\ 0 & I_n \end{pmatrix} \mathbb{Z}^d + \begin{pmatrix} \mathbf{b}\\ 0 \end{pmatrix} = \left\{ \begin{pmatrix} A\mathbf{q} + \mathbf{b} - \mathbf{p}\\ \mathbf{q} \end{pmatrix} : \mathbf{p} \in \mathbb{Z}^m, \ \mathbf{q} \in \mathbb{Z}^n \right\}$$

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and g_t is as before.

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and g_t is as before. Also define $\hat{\delta}: \widehat{X} \to \mathbb{R}_+$ by

 $\hat{\delta}(\Lambda) = \min_{\mathbf{v}\in\Lambda} \|\mathbf{v}\|.$

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The same principle works: good approximation to (A, \mathbf{b}) \uparrow small value of $\hat{\delta}(g_t \Lambda_{A, \mathbf{b}})$. DIRICHLET'S THEOREM FOR INHO-MOGENEOUS APPROXIMA-TION

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Specifically, given $\psi(\cdot)$, there exists $r(\cdot)$ such that

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$$(\widehat{1\psi})$$
 $\|A\mathbf{q} + \mathbf{b} - \mathbf{p}\|^m \le \psi(T)$ and $\|\mathbf{q}\|^n \le T$

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However the geometry of $\hat{\delta}$ on \hat{X} is different from that of δ on X; for one thing, $\delta(X) = (0, 1]$, while $\hat{\delta}(\hat{X}) = [0, \infty)$.

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 $\{\Lambda \in \hat{X} : \hat{\delta}(g_t\Lambda) \leq R \text{ for all large enough } t\}$

has measure 0, therefore $\widehat{Dl}(\psi_{\mathcal{C}})$ has measure zero for any $\mathcal{C}>0_{\mathbb{R}}$

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$$\psi(k) = C \frac{(\log k)^{1+\varepsilon}}{k} \Longrightarrow \widehat{DI}(\psi)$$
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Thank you for your attention!

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