# DIRICHLET'S THEOREM FOR INHOMOGENEOUS APPROXIMATION

<span id="page-0-0"></span>Dmitry Kleinbock and Nick Wadlegh

Brandeis University

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**Theorem** (Dirichlet): for any  $A \in M_{m \times n}(\mathbb{R})$  and any  $T > 1$  $\exists$   $p \in \mathbb{Z}^m$ ,  $q \in \mathbb{Z}^n \smallsetminus \{0\}$  such that

(1) kAq − pk <sup>m</sup> ≤ 1 T and kqk <sup>n</sup> ≤ T.

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**Corollary** (Dirichlet): for any  $A \in M_{m \times n}(\mathbb{R})$  $\exists \infty$  many  $\mathbf{q} \in \mathbb{Z}^n$  such that

(2) 
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\|A\mathbf{q}-\mathbf{p}\|^{m}\leq \frac{1}{\|\mathbf{q}\|^{n}}\text{ for some }\mathbf{p}\in\mathbb{Z}^{m}.
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(1) \t\t\t ||A\mathbf{q}-\mathbf{p}||^{m}\leq \tfrac{1}{\mathcal{T}} \text{ and } \|\mathbf{q}\|^{n}\leq \mathcal{T}.
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**Corollary** (Dirichlet): for any  $A \in M_{m \times n}(\mathbb{R})$  $\exists \infty$  many  $\mathbf{q} \in \mathbb{Z}^n$  such that

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Questions: what happens if in (1) and (2) the RHS is replaced by a faster decreasing function of T and  $\|\mathbf{q}\|$  respectively? In particular, what happens for typical A?

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Well studied in the setting of (2), not so well for (1).

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**Definition:**  $W(\psi)$  is the set of  $\psi$ -approximable matrices,

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 $(2\psi)$   $||A\mathbf{q} - \mathbf{p}||^m \leq \psi(||\mathbf{q}||^n)$  for some  $\mathbf{p} \in \mathbb{Z}^m$ .

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**Khintchine-Groshev Theorem:** given a non-increasing  $\psi$ , the set  $W(\psi)$  has zero (resp. full) measure if and only if the series  $\sum_k \psi(k)$  converges (resp. diverges).

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Note:  $W(\psi)$  is a limsup set. In fact it is easy to see that  $A \in W(\psi)$  if and only if the system

$$
(1\psi) \t ||A\mathbf{q} - \mathbf{p}||^{m} \leq \psi(\mathcal{T}) \text{ and } \|\mathbf{q}\|^{n} \leq \mathcal{T}
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has a nontrivial integer solution for  $\infty$  many  $T \in \mathbb{N}$ .

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Now we see that to improve the original Dirichlet's Theorem, not its corollary, we need to consider a corresponding liminf set!

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#### Questions:

• What is the necessary and sufficient condition for (non-increasing)  $\psi$ so that  $DI(\psi)$  has zero/full measure?

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• The Khintchine-Groshev Theorem can also be proved using dynamics [K-Margulis, 1999]. Can the same approach work here?



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**Recall:** if one takes  $d = m + n$  and  $X = SL_d(\mathbb{R})/SL_d(\mathbb{Z})$ (the space of unimodular lattices in  $\mathbb{R}^d$ ), then the Diophantine properties of A can be understood via the trajectory  $\{g_t \Lambda_A : t \geq 0\}$ , where

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**Minkowski's Lemma**:  $\delta(\Lambda) < 1$  for any  $\Lambda \in X$ .

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**Mahlers's Criterion**:  $\delta(\Lambda)$  is very small  $\leftrightarrow \Lambda$  is far far away in X.

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**Lemma** [K-Margulis '99]: given a non-increasing  $\psi$ , there exists a function  $r : \mathbb{R}_+ \to \mathbb{R}_+$  such that the system

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has a nontrivial integer solution for some  $\tau \iff \delta(g_t \Lambda_A) \leq r(t)$ , with t explicitly depending on  $T$ .

So the setting of (2) is about the family of targets

 $\{\Lambda \in X : \delta(\Lambda) \leq r\}$ 

shrinking to  $\infty$  as  $r \to 0$ .

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On the other hand, in the setting of (1) one needs to consider a family of complements to the above sets:

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\{\Lambda \in X : \delta(\Lambda) > r\},\
$$

which shrink to a certain compact set as  $r \to 1$ .

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**Corollary**:  $A \notin DI(\psi) \iff \delta(g_t \Lambda_A) > r(t)$  for an unbounded set of t.

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**Corollary**:  $A \notin DI(\psi) \iff \delta(g_t \Lambda_A) > r(t)$  for an unbounded set of t.

But the family of shrinking targets  $\{\delta^{-1}((r,1])\}$  is kind of complicated. Some partial results (for slowly decaying functions  $\psi$ ) can be obtained, not a complete solution yet.

**Example**: put  $\psi_c(T) = \frac{c}{T}$  where  $c < 1$ . This corresponds to

$$
r(t) \equiv 1 - \varepsilon, \quad \varepsilon > 0.
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### Dani Correspondence

**Corollary**:  $A \notin DI(\psi) \iff \delta(g_t \Lambda_A) > r(t)$  for an unbounded set of t.

But the family of shrinking targets  $\{\delta^{-1}((r,1])\}$  is kind of complicated. Some partial results (for slowly decaying functions  $\psi$ ) can be obtained, not a complete solution yet.

**Example**: put  $\psi_c(T) = \frac{c}{T}$  where  $c < 1$ . This corresponds to

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**KORKA SERKER ORA** 

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has measure 0, therefore  $DI(\psi_c)$  has measure zero (Davenport and Schmidt 1969).

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<span id="page-38-0"></span>

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(which is not much).

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Still something can be said in the setting of (2).

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**Theorem** (Minkowski?): there exist constants  $C_{m,n}$  such that for  $A \in M_{m \times n}(\mathbb{R})$  and  $\mathbf{b} \in \mathbb{R}^m \; \; \exists \; \infty \; \text{many} \; \mathbf{q} \in \mathbb{Z}^n$  with

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 $\sim$ 

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The work of Cassels and Schmidt gives precise conditions on  $\psi$ such that  $\widehat{W}(\psi)$ , or even  $\{A:(A, \mathbf{b})\in \widehat{W}(\psi)\}$  for fixed **b**, has zero/full measure.**KORKAR KERKER EL VOLO** 

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# Improving  $(1)$

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Let us now try to apply the same approach to the (non-existing) inhomogeneous Dirichlet's theorem.

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**KORKARYKERKE PROGRAM** 

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**Again one can ask**: for which (non-increasing)  $\psi$  the set  $\widehat{D}I(\psi)$  has zero/full measure?

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**Again one can ask**: for which (non-increasing)  $\psi$  the set  $\widehat{DI}(\psi)$  has zero/full measure? Not clear how to do it using classical methods.

However the dynamical approach works and produces a definitive result (so in some sense the inhomogeneous version is easier than its homogeneous counterpart!)

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$$
\Lambda_{A,\mathbf{b}} = \begin{pmatrix} I_m & A \\ 0 & I_n \end{pmatrix} \mathbb{Z}^d + \begin{pmatrix} \mathbf{b} \\ 0 \end{pmatrix} \ = \left\{ \begin{pmatrix} A\mathbf{q} + \mathbf{b} - \mathbf{p} \\ \mathbf{q} \end{pmatrix} : \mathbf{p} \in \mathbb{Z}^m, \ \mathbf{q} \in \mathbb{Z}^n \right\}
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\{g_t\Lambda_{A,\mathbf{b}}:t\geq 0\},\
$$

where

$$
\Lambda_{A,\mathbf{b}} = \begin{pmatrix} I_m & A \\ 0 & I_n \end{pmatrix} \mathbb{Z}^d + \begin{pmatrix} \mathbf{b} \\ 0 \end{pmatrix} = \left\{ \begin{pmatrix} A\mathbf{q} + \mathbf{b} - \mathbf{p} \\ \mathbf{q} \end{pmatrix} : \mathbf{p} \in \mathbb{Z}^m, \ \mathbf{q} \in \mathbb{Z}^n \right\}
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and  $g_t$  is as before.

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$$
\hat{\delta}(\Lambda)=\min_{\mathbf{v}\in\Lambda}\|\mathbf{v}\|.
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 $\hat{\delta}(\Lambda) = \min_{\mathbf{v} \in \Lambda} \|\mathbf{v}\|.$ 

**The same principle works:** good approximation to  $(A, b)$  $\mathbb{1}$ small value of  $\hat{\delta}(g_t \Lambda_{A,\mathbf{b}})$ .

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### Inhomogeneous Dani Correspondence

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### Inhomogeneous Dani Correspondence

**Specifically**, given  $\psi(\cdot)$ , there exists  $r(\cdot)$  such that

$$
(\widehat{1\psi}) \qquad \qquad \|A\mathbf{q} + \mathbf{b} - \mathbf{p}\|^{m} \leq \psi(\mathcal{T}) \quad \text{and} \quad \|\mathbf{q}\|^{n} \leq \mathcal{T}
$$

has an integer solution for some  $\mathcal{T} \Longleftrightarrow \hat{\delta}(g_t \Lambda_{A, \mathbf{b}}) \leq r(t)$ with  $t$  explicitly depending on  $T$ .

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### Inhomogeneous Dani Correspondence

 ${\sf Specifically}$ , given  $\psi(\cdot)$ , there exists  $r(\cdot)$  such that

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has an integer solution for some  $\mathcal{T} \Longleftrightarrow \hat{\delta} (g_t \Lambda_{A, \mathbf{b}}) \leq r(t)$ with  $t$  explicitly depending on  $\mathcal T.$ 

**Corollary:** 
$$
(A, \mathbf{b}) \notin \widehat{DI}(\psi)
$$
  
\n $\hat{\delta}(g_t \Lambda_{A, \mathbf{b}}) > r(t)$  for an unbounded set of  $t$ .

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Corollary:  $(A, b) \notin \widehat{DI}(\psi)$  $\mathbb{\hat{I}}$  $\hat{\delta}({\gmb{g}}_t \mathsf{\Lambda}_{\mathsf{A},\mathsf{b}}) > r(t)$  for an unbounded set of  $t.$ 

However the geometry of  $\hat{\delta}$  on  $\hat{X}$  is different from that of  $\delta$  on  $X;$ for one thing,  $\delta(X)=(0,1]$ , while  $\hat{\delta}(\hat{X})=[0,\infty).$ 

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#### Corollary:  $(A, b) \notin \overline{DI}(\psi)$  $\mathbbm{1}$  $\hat{\delta}(g_t \Lambda_{A,\mathbf{b}}) > r(t)$  for an unbounded set of t.

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<span id="page-73-0"></span>In particular, for any  $R>0$  the set  $\hat{\delta}^{-1}\big((R,\infty)\big)$  has positive measure. This (fixed) target  $r(t) \equiv R$  corresponds to  $\psi_C(T) = \frac{C}{T}$ ,  $C > 0$ .

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 $\{\Lambda \in \hat{X}: \hat{\delta}(g_t\Lambda) \leq R$  for all large enough  $t\}$ 

<span id="page-74-0"></span>has mea[sur](#page-73-0)[e](#page-68-0) 0, theref[or](#page-37-0)e $\widehat{DI}(\psi_{\mathcal{C}})$  $\widehat{DI}(\psi_{\mathcal{C}})$  $\widehat{DI}(\psi_{\mathcal{C}})$  $\widehat{DI}(\psi_{\mathcal{C}})$  $\widehat{DI}(\psi_{\mathcal{C}})$  has measur[e z](#page-75-0)e[ro](#page-69-0) [f](#page-75-0)or a[ny](#page-81-0)  $\mathcal{C} > 0$  $\mathcal{C} > 0$  $\mathcal{C} > 0$  $\mathcal{C} > 0$ [.](#page-81-0)

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Moreover, because the family  $\hat{\delta}^{-1}((R,\infty))$  is well behaving, we can actually prove much more!

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Moreover, because the family  $\hat{\delta}^{-1}((R,\infty))$  is well behaving, we can actually prove much more!

**Theorem**: given a non-increasing  $\psi$ , the set  $\widehat{DI}(\psi)$  has zero (resp. full) measure iff the series

$$
\sum_{k} \frac{1}{k^2\psi(k)}
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Kleinbock and Wadleigh

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$$
\psi(k) = C \frac{\log k}{k} \implies \widehat{DI}(\psi)
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In particular:

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\psi(k) = C \frac{\log k}{k} \implies \widehat{Dl}(\psi)
$$
 has measure zero;

<span id="page-80-0"></span>• 
$$
\psi(k) = C \frac{(\log k)^{1+\epsilon}}{k} \implies \widehat{DI}(\psi)
$$
 has full measure.

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#### Thank you for your attention!

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[Thanks](#page-81-0)

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