Is it easy to be fair?

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Vigyan Vidushi 2023 (Mathematics)

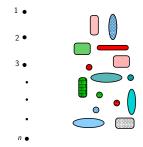
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"Being good is easy, what is difficult is being just." (Victor Hugo, 1862)

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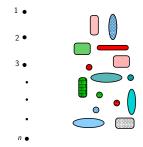
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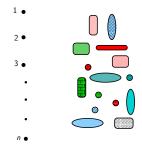
A fair division problem:

- there are n agents
- and there are m goods.

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A fair division problem:

- there are n agents
- and there are m goods.

We want to distribute the m goods fairly among the n agents.

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Many applications:

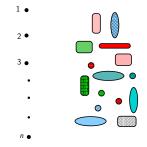
- Partnership dissolutions;
- Dividing inheritance and so on.

Check www.spliddit.org for more details.



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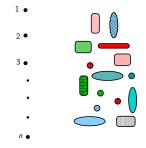
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How do we measure fairness?

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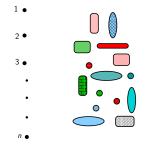
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How do we measure fairness?

• Let M be the "grand bundle", i.e., the entire set of m goods.

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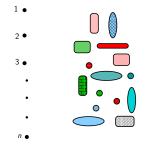
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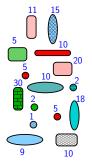
How do we measure fairness?

- Let *M* be the "grand bundle", i.e., the entire set of *m* goods.
- Every agent has a value associated with each subset of *M*.
 - ▶ So for every agent *i*, there is a valuation function $v_i : 2^M \to \mathbb{R}_{>0}$.

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An example of a valuation function

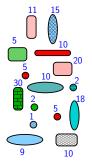


An additive valuation v_i : for any subset $S = \{g_1, \dots, g_k\}$ of M, we have $v_i(S) = v_i(g_1) + \dots + v_i(g_k)$.

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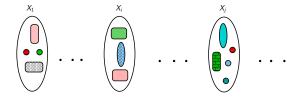


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Valuations can be more general – the only rule v_i has to obey is:

• for any
$$S \subseteq T \subseteq M$$
, we have $v_i(S) \leq v_i(T)$.

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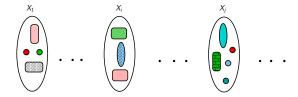


What we seek:

• a partition $\langle X_1, \ldots, X_n \rangle$ of M where $X_i = \{\text{goods given to agent } i\}$.

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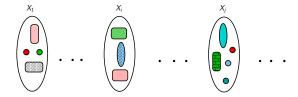


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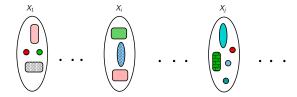
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For v_i in the previous slide: $v_i(X_i) = 40$ and $v_i(X_j) = 56$; so *i* envies *j*.

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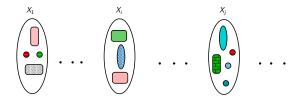
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$$\underbrace{v_i(X_i) \ge v_i(X_j)}_{i \text{ likes } X_i \text{ as much as } X_j} \text{ for all } i, j \implies \langle X_1, \dots, X_n \rangle \text{ is an } \underline{\text{envy-free}} \text{ allocation.}$$

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We want a partition $\langle X_1, \ldots, X_n \rangle$ of *M* that is envy-free.

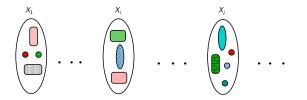


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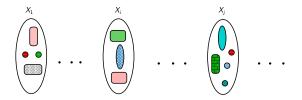


Does an envy-free allocation always exist?

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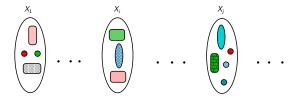


Does an envy-free allocation always exist? Unfortunately, no!

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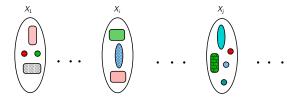


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- Suppose n = 2 and m = 1.
- So there are two agents and only one good both the agents want this good.

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- Suppose n = 2 and m = 1.
- So there are two agents and only one good both the agents want this good.
 - only one of them gets the good and the other agent envies her.

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History

Envy-free allocations always exist for two agents and *divisible* goods such as cake.



The cut-and-choose protocol: (this dates back to the Bible)

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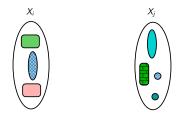


The cut-and-choose protocol: (this dates back to the Bible)

- Abraham partitions the land into two parts;
- Lot chooses which part he would like to keep.

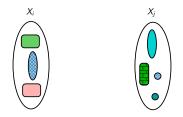
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Agent *i* may envy agent *j*, i.e., $v_i(X_i) < v_i(X_j)$



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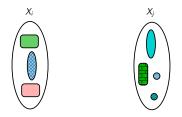
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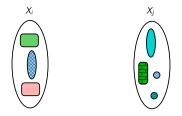
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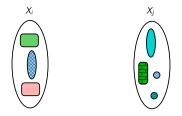


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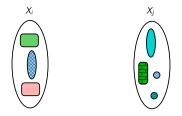
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Let us ask for this condition to hold for every pair of agents i and j.

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Such an allocation is called EF1: envy-free up to one good.

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Consider the following instance with additive valuations:

		а	b	с	d	
Agent	1	100	70	20	5	
Agent	2	100	70	20	5	1

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$$v_2(X_1 - a) = 20 < 75 = v_2(X_2)$$
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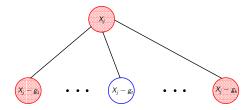
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- however $v_2(X_1 a) = 20 < 75 = v_2(X_2)$;
- so this is an EF1 allocation.

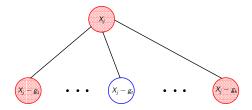
From agent *i*'s perspective: X_i may be better than X_i



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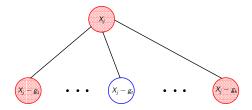
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$$j \Rightarrow$$
 there exists $g \in X_j$ such that $\underbrace{v_i(X_i) \ge v_i(X_j - g)}_{i \text{ likes } X_i \text{ as much as } X_j - g}$

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Good news: An EF1 allocation $X = \langle X_1, \ldots, X_n \rangle$ always exists.

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Round-robin: in each round, agents go one-by-one in the order $1, \ldots, n$

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Round-robin: in each round, agents go one-by-one in the order $1, \ldots, n$

- every agent picks her most valuable good among those available
- and adds it to her bundle.

Claim: This is an EF1 allocation.

Let us run round-robin on this instance:

		а	b	с	d	
-	Agent 1	100	70	20	5	
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	а	b	с	d	
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Agent 1 goes first and picks a.

Let us run round-robin on this instance:

	а	b	с	d
Agent 1	100	70	20	5
Agent 2	100	70	20	5

Agent 1 goes first and picks a.

Agent 2 goes next and picks b.

Let us run round-robin on this instance:

	а	b	с	d	
Agent 1	100	70	20	5	1
Agent 2	100	70	20	5	1

Agent 1 goes first and picks a.

- Agent 2 goes next and picks b.
 - Agent 1 goes again and picks c.

Let us run round-robin on this instance:

	а	b	с	d	
Agent 1	100	70	20	5	
Agent 2	100	70	20	5	

Agent 1 goes first and picks a.

- Agent 2 goes next and picks b.
 - Agent 1 goes again and picks c.
 - Agent 2 goes again and picks d.

Let us run round-robin on this instance:

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- Agent 2 goes next and picks b.
 - Agent 1 goes again and picks c.
 - Agent 2 goes again and picks d.

So we get $X_1 = \{a, c\}$ and $X_2 = \{b, d\}$.

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Let us run round-robin on this instance:

	а	b	с	d
Agent 1	100	70	20	5
Agent 2	100	70	20	5

Agent 1 goes first and picks a.

Agent 2 goes next and picks b.

Agent 1 goes again and picks c.

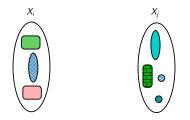
Agent 2 goes again and picks d.

So we get $X_1 = \{a, c\}$ and $X_2 = \{b, d\}$.

This is indeed an EF1 allocation.

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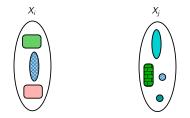
Consider any pair of agents *i* and *j*:



Let $X_i = \{g_1, g_2, g_3, \ldots\}$ and let $X_j = \{h_1, h_2, h_3, \ldots\}$.

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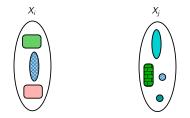
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Let $X_i = \{g_1, g_2, g_3, \ldots\}$ and let $X_j = \{h_1, h_2, h_3, \ldots\}$.

1. *i* goes before $j \Rightarrow$ for every *t*, agent *i* likes g_t at least as much as h_t ;

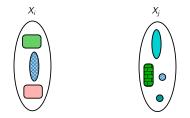
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- 2. *i* goes after $j \Rightarrow$ for every *t*, agent *i* likes g_t at least as much as h_{t+1} .

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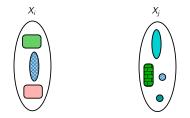
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In case 1, i does not envy j.

In case 2, *i* does not envy *j* after removing h_1 from X_j , i.e., $v_i(X_j) \ge v_i(X_j - h_1)$.

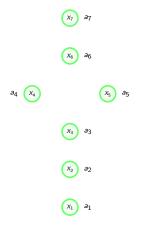
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EF1 for general valuations

The envy graph G: (Lipton, Markakis, Mossel, and Saberi, 2004)

▶ agents are vertices in G.

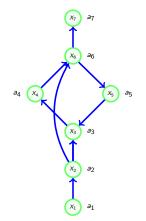


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EF1 for general valuations

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▶ agents are vertices in G.



G has an edge from a_i to $a_j \iff$ agent i envies agent j.

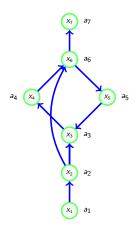
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The envy graph G

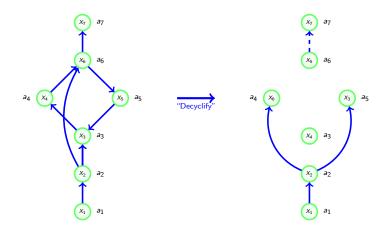
If G has *directed cycles* then we can eliminate them.



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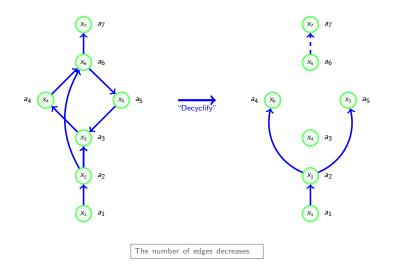


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The algorithm proceeds in rounds.

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In each round:

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In each round:

- eliminate cycles in the envy graph G;
- let a_k be a vertex with in-degree 0 in G; (nobody envies agent k)

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In each round:

- eliminate cycles in the envy graph G;
- let a_k be a vertex with in-degree 0 in G; (nobody envies agent k)
- let g be any unallocated good;

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In each round:

- eliminate cycles in the envy graph G;
- let a_k be a vertex with in-degree 0 in G; (nobody envies agent k)
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- add g to k's bundle, i.e., $X_k = X_k + g$.

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Claim: The allocation after every round is EF1.

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This is because nobody envies $X_k - g$.

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Claim: The allocation after every round is EF1.

► This is because nobody envies X_k − g.

Thus an EF1 allocation can be easily computed.

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	a	Ь	с	
Agent 1	1	1	3	
Agent 2	1	1	3	Γ

	a	b	с	
Agent 1	1	1	3	
Agent 2	1	1	3	

Here there are 3 goods and 2 agents with additive valuations.

	a	Ь	с	
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- the allocation $X = \langle \{a\}, \{b, c\} \rangle$ is EF1;
- however X is quite unfair towards agent 1;

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Agent 2	1	1	3	

Here there are 3 goods and 2 agents with additive valuations.

- the allocation $X = \langle \{a\}, \{b, c\} \rangle$ is EF1;
- however X is quite unfair towards agent 1;
- the allocation $Y = \langle \{a, b\}, \{c\} \rangle$ seems fairer.

Recall the following instance:

	а	b	с	d	
Agent 1	100	70	20	5	1
Agent 2	100	70	20	5	1

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Recall the following instance:

	а	b	с	d	
Agent 1	100	70	20	5	1
Agent 2	100	70	20	5	

The allocation $X_1 = \{a, c\}$ and $X_2 = \{b, d\}$ is EF1.

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Recall the following instance:

	а	b	с	d	
Agent 1	100	70	20	5	1
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The allocation $X_1 = \{a, c\}$ and $X_2 = \{b, d\}$ is EF1.

However it is not very fair towards agent 2.

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	а	b	с	d	
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Agent 2	100	70	20	5	1

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However it is not very fair towards agent 2.

• The allocation $Y_1 = \{a\}$ and $Y_2 = \{b, c, d\}$ is much more fair.

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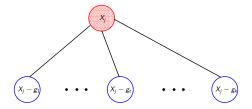
Can we come up with a stronger relaxation of "envy-freeness" that always exists?

EFX: Envy-free up to *any* good – this is a stronger relaxation of envy-freeness (Caragiannis, Kurokawa, Moulin, Procaccia, Shah, and Wang, 2016).

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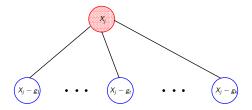
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For any j: all proper subsets of X_j should be "un-envied". So for any i, j: $v_i(X_i) \ge v_i(X_j - g)$ for all $g \in X_j$.

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EFX is a stronger notion than EF1.

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Recall the following instance:

	a	Ь	с	
Agent 1	1	1	3	
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• the allocation $X = \langle \{a\}, \{b, c\} \rangle$ is not EFX;

Recall the following instance:

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- the allocation $X = \langle \{a\}, \{b, c\} \rangle$ is not EFX;
- this is because $v_1(X_1) = v_1(\{a\}) < v_1(\{c\}) = v_1(X_2 b);$

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	а	b	с	d
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The EF1 allocation $X_1 = \{a, c\}$ and $X_2 = \{b, d\}$ is not EFX.

• This is because $v_2(X_1 - c) = 100 > 75 = v_2(X_2)$.

	а	b	с	d
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Question: Do EFX allocations always exist?

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- Answer: We do not know!

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"Fair division's biggest problem." (Ariel Procaccia, 2020)

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"We suspect there exist instances with no EFX allocations." (Plaut and Roughgarden, 2018)

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It is known that EFX allocations exist in the following special cases:

- when n = 2 (Plaut and Roughgarden, 2018);
- when all *n* agents have the same valuation function, i.e., $v_1 = \cdots = v_n$ (PR'18);
- when n = 3 and valuations are additive (Chaudhury, Garg, and Mehlhorn, 2020).

"We suspect there exist instances with no EFX allocations." (Plaut and Roughgarden, 2018)

However no such instance is currently known.

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- and so on.

Claim: $X = \langle X_1, \ldots, X_n \rangle$ is EFX.

For the various partitions of $\{a, b, c\}$ into two subsets (X_1, X_2) where $v(X_1) \leq v(X_2)$:

	a	b	с	
Agent 1	1	1	3	
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• the possible values of $v(X_1)$ are 0, 1, 2.

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The one with the maximum value of $v(X_1)$ is the last one where agent 1 gets $\{a, b\}$.

- So agent 1 gets $X_1 = \{a, b\}$ and agent 2 gets $X_2 = \{c\}$.
 - This allocation is EFX.

Let signature(X) = $(v(X_1), |X_1|, v(X_2), |X_2|, ...)$.

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The new allocation (with possibly some swapping of bundles) has a larger signature, a contradiction.

Let agent 1's valuation function be v_1 . Let agent 2's valuation function be v_2 .

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So agent 2 has no envy towards agent 1.

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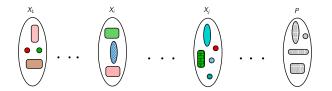
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So agent 2 has no envy towards agent 1.

Moreover, agent 1 does not envy any proper subset of agent 2's bundle.

- Hence this is an EFX allocation.
- However finding such an allocation can be hard.

A relaxation of EFX

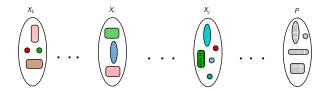


EFX-with-charity (Caragiannis, Gravin, Huang, 2019)

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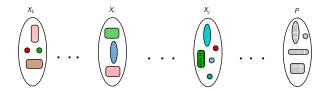
▶ partition *M* into $X_1, ..., X_n$ and left-over goods *P* (the pool) such that:

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EFX-with-charity (Caragiannis, Gravin, Huang, 2019)

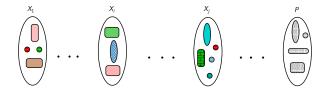
▶ partition *M* into $X_1, ..., X_n$ and left-over goods *P* (the pool) such that:

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 is EFX.

Can we show such an allocation where nobody envies P and the size of P is small?

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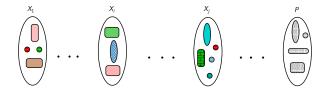


Yes – such an allocation (where |P| < n) always exists. (Chaudhury, Kavitha, Mehlhorn, and Sgouritsa, 2020)

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Yes – such an allocation (where |P| < n) always exists. (Chaudhury, Kavitha, Mehlhorn, and Sgouritsa, 2020)

So if there exists one agent (say, i) who is beyond envy, i.e., $v_i(S) = 0$ for all $S \subseteq M$:

then EFX allocations exist!

We will always maintain a partial allocation $X = \langle X_1, \dots, X_n \rangle$ that is EFX.

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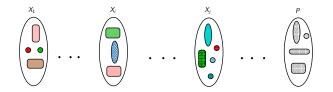
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At any stage: if no agent envies P then we are done.



Else there is some agent that envies P.

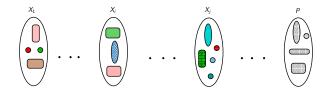
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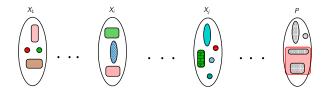
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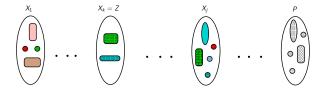
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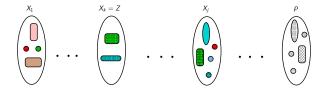
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While there is envy towards P, we run this step.

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This process has to terminate since $v_1(X_1) + \cdots + v_n(X_n)$ increases in every step.

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At the end, we have an EFX allocation $\langle X_1, \ldots, X_n \rangle$ and a pool P of left-over goods.

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- No agent envies *P*.
- The ultimate goal is to make $P = \emptyset$.
- It is known that $|P| \leq n 2$ (Mahara, 2021).

Epistemic EFX (Caragiannis, Garg, Rathi, Sharma, Varrichhio 2022)

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An allocation $X = \langle X_1, \dots, X_n \rangle$ is epistemic EFX iff for every $i \in \{1, \dots, n\}$:

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it is possible to shuffle the goods of the other agents such that i is "EFX-satisfied";

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▶ so
$$\langle X_1^i, \ldots, X_{i-1}^i, X_i, X_{i+1}^i, \ldots, X_n^i \rangle$$
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When valuations are additive:

an epistemic EFX allocation always exists;

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When valuations are additive:

- an epistemic EFX allocation always exists;
- we can efficiently find one.

Consider the following instance with additive valuations:

	а	b	с
Agent 1	10	2	5
Agent 2	11	4	1
Agent 3	3	10	8

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$$X_1 = \{a\}, X_2 = \{b\}, \text{ and } X_3 = \{c\}$$

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$$Y_1 = \{a\}, Y_2 = \{c\}, \text{ and } Y_3 = \{b\}.$$

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$$Z_1 = \{c\}, Z_2 = \{a\}, \text{ and } Z_3 = \{b\}.$$

In allocation X, agent 3 envies agent 2 who envies agent 1.

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The following fractional allocation is envy-free:

- Agent 1 gets 1/2 of a and 1/2 of c.
- Agent 2 gets 1/2 of a, 1/4 of b, and 1/4 of c.
- Agent 3 gets 3/4 of b and 1/4 of c.

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Interestingly, this can be viewed as a probability distribution over EF1 allocations:

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Interestingly, this can be viewed as a probability distribution over EF1 allocations:

• Take X with probability 1/4, Y with probability 1/4, and Z with probability 1/2.

The *serial eating* protocol produces an envy-free fractional allocation. (Bogomolnaia and Moulin, 2001)

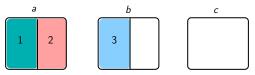
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Let us run this on our example. (The best good for 1 and 2 is a and for 3, it is b.)

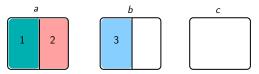


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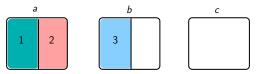
Once a good is completely consumed by a subset of agents:

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The *serial eating* protocol produces an envy-free fractional allocation. (Bogomolnaia and Moulin, 2001)

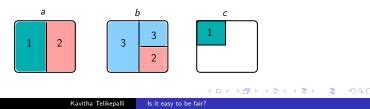
All agents simultaneously eat their respective favourite good at the same speed.

Let us run this on our example. (The best good for 1 and 2 is a and for 3, it is b.)

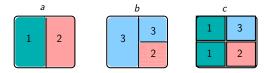


Once a good is completely consumed by a subset of agents:

each of those agents then eats her favourite available good at the same speed.



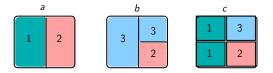
And finally:



This protocol always produces a fractional allocation that is envy-free.

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And finally:

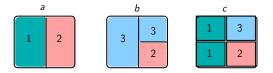


This protocol always produces a fractional allocation that is envy-free.

This fractional allocation can also be expressed as a probability distribution over EF1 allocations (Freeman, Shah, and Vaish, 2020).

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And finally:



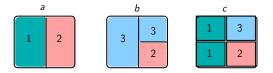
This protocol always produces a fractional allocation that is envy-free.

- This fractional allocation can also be expressed as a probability distribution over EF1 allocations (Freeman, Shah, and Vaish, 2020).
- Furthermore, such a probability distribution can be efficiently computed.

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And finally:



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- This fractional allocation can also be expressed as a probability distribution over EF1 allocations (Freeman, Shah, and Vaish, 2020).
- Furthermore, such a probability distribution can be efficiently computed.

Thank you!

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