

# Lecture 1: (Introduction to Galois theory).

Given a polynomial  $f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0$ ,  $a_i \in \mathbb{Q}$ ,

how do we understand the roots of this polynomial.

Quadratic case:-

•  $f(x) = x^2 + bx + c$ ,  $b, c \in \mathbb{Q}$ , the roots are  $\frac{-b \pm \sqrt{b^2 - 4c}}{2}$ .

Given Cubic or quartic polynomial, (deg 3) (deg 4)

we can obtain formulas for roots of this polynomial (by allowing operations like  $+, -, \times, \div, \sqrt[n]{\phantom{x}}$  on the roots)

(This requires more work, some clever tricks, but can be done).

However, for deg  $\geq 5$ , a general  $n$ th deg polynomial cannot be solved by radicals (Ruffini, Abel)

Galois introduced groups to study symmetries in roots of polynomials and gave a proof of this impossibility statement.

Given  $f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0$ , with  $a_i \in \mathbb{Q}$ .

Say roots of  $f$  are  $\alpha_1, \dots, \alpha_n$ .

Associated to this is a gp which is  $G_f$  - Galois's gp of  $f$  which is a subgroup of  $S_n$  (sym. gp in  $n$  letters).

Elements of  $G_f$  acts as permutations of  $\{\alpha_1, \dots, \alpha_n\}$ .

Then:  $f$  is solvable by radicals  $\Leftrightarrow G_f$  is a solvable gp.

(It has a subnormal series with successive quotients abelian)

$(1 \leq A_5 \leq S_5)$  (simple gp)

Field extns:-

Recall:- A field  $F$  is a commutative ring with identity in which every non-zero element has an inverse.

•  $\text{char}(F) =$  smallest +ve int  $\neq 0$  such that  $p \cdot 1_F = 0$  if  $p$  exists and is 0 otherwise.

(char = 0 or a prime)  
( $\mathbb{R}$   $\mathbb{Z}/p\mathbb{Z}$ )

Field extns:-

A field extn  $K$  of  $F$  is a field  $K$  containing  $F$ . The field  $F$  is called the base field and this extn is written  $K/F$ .

(Exercise) Any non-zero hom  $\varphi: F_1 \rightarrow F_2$  is injective.

If  $K/F$  is a field extn then  $K$  is in fact a vector space over  $F$  and the  $\deg$  of  $K/F$ , written  $[K:F]$  is the dim of  $K$  as a vector space over  $F$ . If this is finite, then the extn is a finite extn.

Field extns arise naturally when trying to understand roots of poly. equations.

More generally, given a field  $F$  and a polynomial  $p(x) \in F[x]$

$\mathbb{F}$  and  $\text{irr. poly}$  exist a field  $K$  containing  $\mathbb{F}$ , such that  $p(x)$  has a root in  $K$ ?

Yes! let  $K = \frac{\mathbb{F}[x]}{(p(x))}$

(Exercise: Check this)  $\hookrightarrow$  is  $\text{irr}$  and hence generates a max ideal in  $\mathbb{F}[x]$

So  $K$  is a field.

We have a natural map  $\mathbb{F}[x] \xrightarrow{\varphi} \frac{\mathbb{F}[x]}{(p(x))} = K$  is non-zero

$\varphi|_{\mathbb{F}} : \mathbb{F} \rightarrow K$

So this is injective  $\mathbb{F} \subseteq K$ .  $\left( \begin{array}{l} p(\bar{x}) = \overline{p(x)} \\ = p(x) \bmod (p(x)) = 0 \end{array} \right)$

$\Rightarrow$  Further the element  $\bar{x} = x \bmod (p(x))$  is a root of  $p(x)$  in  $K$ .

Later we will construct extensions of  $\mathbb{F}$  that contain all the roots of the poly  $p(x)$  leading to the notion of splitting fields, a central object of study in

Galois's theory.

- Fields generated by subsets:-

Let  $K/\mathbb{F}$  be a field extn and  
let  $\alpha \in K$ .

Can consider  $\mathbb{F}(\alpha) \subseteq K$   
 $\downarrow$   
 field generated by  $\mathbb{F}$  and  $\alpha$   
 (N of all subfields of  $K$  that contain  $\mathbb{F}$  &  $\alpha$ ).

Similarly for  $S \subseteq K$  we have  
 $\mathbb{F}(S) = \{ b \in K \mid b \text{ can be exp. in terms of field operation } +, -, \times, \div \text{ applied to } S \cup \mathbb{F} \}$

- The extn  $K/\mathbb{F}$  is f.g. (finitely generated) if  $\mathbb{F}$  a finite subset such that  
 $\{ \alpha_1, \dots, \alpha_n \} \subseteq K$   
 $K = \mathbb{F}(\alpha_1, \dots, \alpha_n)$ .

- It is primitive if  $K = \mathbb{F}(\alpha)$  for some  $\alpha \in K$ .

Note:- If  $p(x) \in \mathbb{F}[x]$  is irr.  
 and  $K/\mathbb{F}$  is an extn with  
 an element  $\alpha \in K$  such that  
 $p(\alpha) = 0$   
 $\mathbb{F}(\alpha) \subseteq K$

Then  $\frac{F[x]}{(p(x))}$  the  $F$ -hom  
 (To see this consider the  $F$ -hom  

$$\begin{matrix} F[x] & \xrightarrow{\varphi} & F(\alpha) \\ x & \longrightarrow & \alpha \end{matrix}$$

$$\text{Ker} = \{f \in F[x] \mid f(\alpha) = 0\}.$$

and  $p \in \text{Ker}(\varphi)$

$$\frac{F[x]}{(p(x))} \xrightarrow{\varphi} F(\alpha)$$

(This is injective, and surjective).

Algebraic elements:-

Let  $K/F$  be an extn and

let  $\alpha \in K$ .

Consider

$$\begin{matrix} F[x] & \xrightarrow{\varphi} & K \\ x & \longrightarrow & \alpha \end{matrix} \quad \left( \begin{matrix} \varphi \text{ is an} \\ F\text{-hom} \\ \text{sending} \\ x \rightarrow \alpha \end{matrix} \right)$$

Can look at  $\text{Ker}(\varphi)$ .

If  $\text{Ker}(\varphi) \neq 0$ , this means  $\exists$   
 $p(x) \in F[x] \quad \exists \quad p(\alpha) = 0$   
 Such an element is called  
 algebraic over  $F$ .

If  $\text{Ker}(\varphi) = 0$ , this means  $\nexists$   
 poly  $p(x) \in F[x] \quad \exists \quad p(\alpha) = 0$   
 element is called transcendental.

such an element is transcendental over  $\mathbb{Q}$   
 (Ex:  $\sqrt[3]{2} \in \mathbb{R}$  is algebraic over  $\mathbb{Q}$  to  $x^3 - 2$ ).  
 a solution

Note:- If  $\alpha \in K$  is alg. over  $F$ ,  
 there is a unique monic  
 irr polynomial  $p(x) \in F[x]$  that  
 has  $\alpha$  as root and if  
 $f(x) \in F[x]$  has  $\alpha$  as a root  
 then  $p \mid f$ .

To see this: Take  $p$  to be  
 of min deg having  $\alpha$  as a  
 root and make it monic.  
 It is irr, because its deg  
 is minimal. (Show that if  
 $f(x) \in F[x]$  has  $\alpha$   
 as a root then  
 $p \mid f$ )

This poly is called the  
 min. polynomial of  $\alpha$ .

Note:  $[F[x] : F] = \deg P$   
 211 (where  $P$  is  
 the min poly  
 of  $\alpha$ ).  
 $\frac{F[x]}{(p(x))} \cong \{1, \bar{\alpha}, \dots, \bar{\alpha}^{deg-1}\}$   
 ex:  $\sqrt[3]{2} \in (x^3 - 2)$ .

Ex:  $x^5 - 2$  is irr. over  $\mathbb{Q}$ .  
 (Reading: Review irreducibility criteria)

An extn  $K/\mathbb{F}$  is alg. if every element of  $K$  is alg. over  $\mathbb{F}$ .  
 $\{1, \alpha, \dots, \alpha^{n-1}\}$

Note:- If  $K/\mathbb{F}$  is a finite extn of deg  $n$ , then  $K/\mathbb{F}$  is algebraic.  
 $\{1, \alpha, \alpha^2, \dots, \alpha^{n-1}\}$

Let  $\alpha \in K$ . Consider  
 They are linearly dep  
 $\Rightarrow \exists a_0, \dots, a_n \in \mathbb{F} \neq 0$   
 $a_0 + a_1 \alpha + \dots + a_n \alpha^n = 0$

$\Rightarrow p(x) = a_0 + a_1 x + \dots + a_n x^n$  is  
 a poly. satisfied by  $\alpha$ .

Fact:- Let  $K/\mathbb{F}$  be a field extn.  
 Then  $K/\mathbb{F}$  is finite  $\Leftrightarrow$  it is alg. & f.g.

$\Rightarrow$  is already done.

On the other hand if  
 $K = \mathbb{F}(\alpha_1, \dots, \alpha_n)$  where  $\alpha_i$   
 $\mathbb{F}(\alpha_i)$   $\mathbb{F}(\alpha_1, \alpha_2) \dots$  are alg. over  $\mathbb{F}$ ,



Let  $F = K_0 \subseteq K_1 \subseteq K_2 \subseteq \dots \subseteq K$   
 $\underbrace{\quad}_{\leq \infty} \quad \underbrace{\quad}_{\leq \infty} \quad \underbrace{\quad}_{\leq \infty}$   
 $K_{i+1} = K_i(\alpha_{i+1})$

Note:  $\alpha_{i+1}$  is alg over  $F$   
 $\alpha_{i+1}$  is also alg over  $K_i$

$$\Rightarrow [K_{i+1} : K_i] = \deg \text{ min poly } \alpha_{i+1} \text{ over } K_i$$

Tower Law:- If  $F \subseteq K \subseteq L$  are field extensions then  
 $[L : F] = [L : K][K : F]$

(Exercise: prove this)

Let  $\{\alpha_i\}$  be a basis for  $L/K$   
 $\{\beta_j\}$  be a basis for  $K/F$ .

Then  $\{\alpha_i \cdot \beta_j\}$  is a basis for  $L/F$ .

Then  $[K : F] < \infty$  if  $\Omega \in \Omega$

Corollary:- If  $\alpha, \beta \in \Omega$  are alg over  $F$   
 $\alpha + \beta, \alpha\beta, \frac{\alpha}{\beta}$  are all alg over  $F$ .  
 $F(\alpha, \beta) \subseteq \Omega$ .

Hint:- Consider